

## Part III

Learning structured representations  
Hierarchical Bayesian models

Universal Grammar



Grammar



Phrase structure



Utterance



Speech signal

Hierarchical phrase structure  
grammars (e.g., CFG, HPSG, TAG)

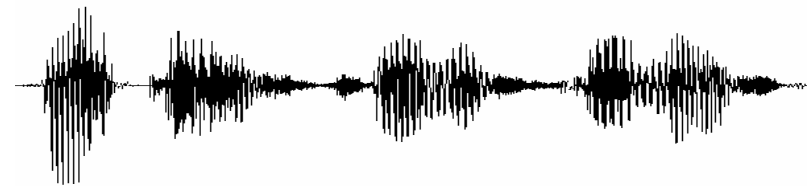
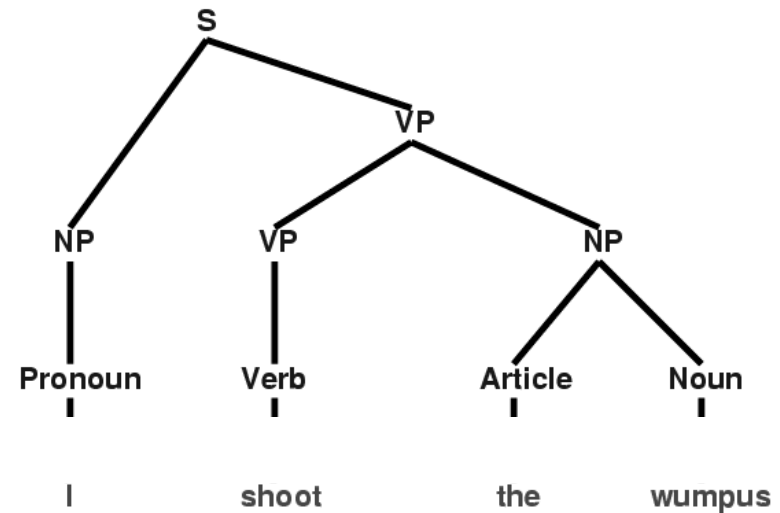
$S \rightarrow NP VP$

$NP \rightarrow Det [Adj] Noun [RelClause]$

$RelClause \rightarrow [Rel] NP V$

$VP \rightarrow VP NP$

$VP \rightarrow Verb$



# Outline

- Learning structured representations
  - grammars
  - logical theories
- Learning at multiple levels of abstraction

# A historical divide

**Structured  
Representations**

**Unstructured  
Representations**

VS

**Innate knowledge**

**Learning**

(Chomsky,  
Pinker,  
Keil, ...)

(McClelland,  
Rumelhart, ...)

# Structured Representations

**Innate  
Knowledge**

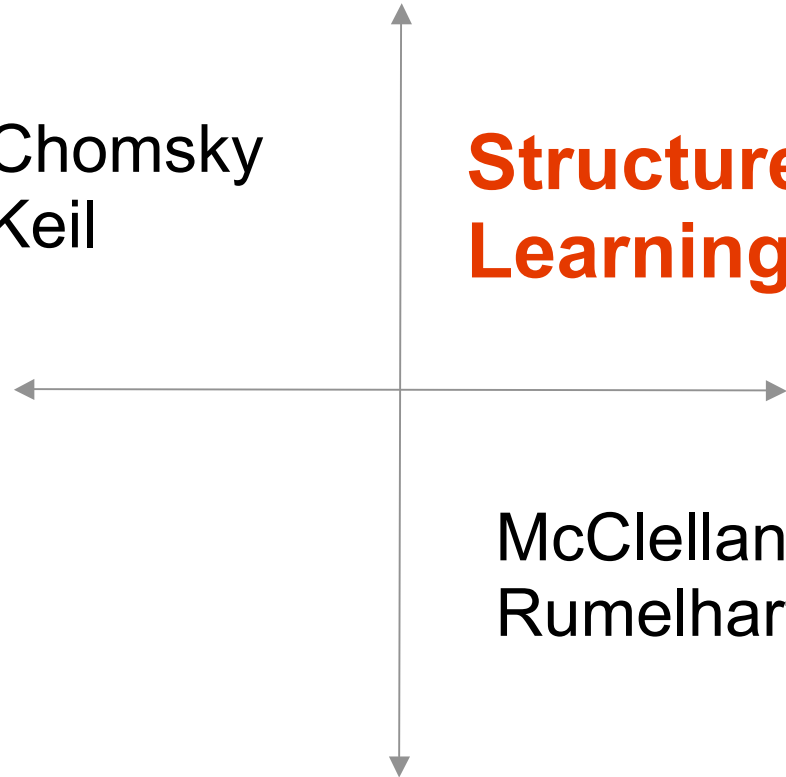
Chomsky  
Keil

**Structure  
Learning**

**Learning**

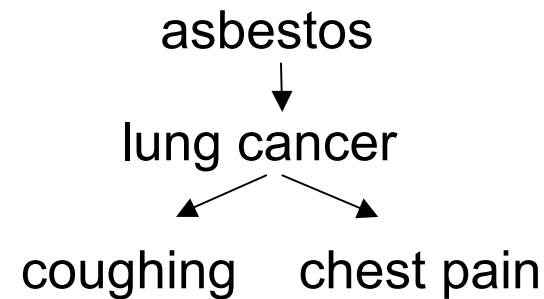
McClelland,  
Rumelhart

# Unstructured Representations



# Representations

Causal networks



Grammars

$$\begin{aligned} S &\rightarrow NP VP \\ NP &\rightarrow Det [Adj] Noun [RelClause] \\ RelClause &\rightarrow [Rel] NP V \\ VP &\rightarrow VP NP \\ VP &\rightarrow Verb \end{aligned}$$

Logical theories

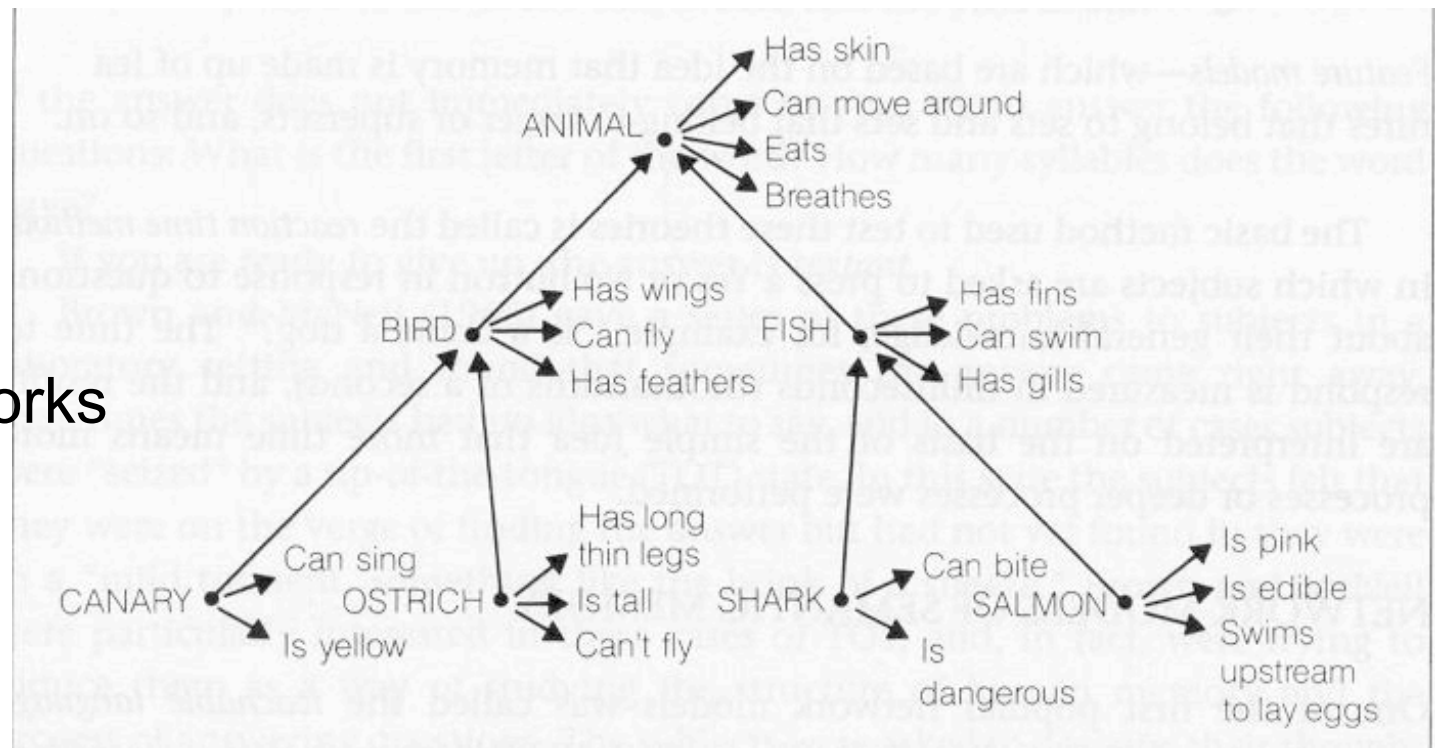
$$\begin{aligned} \forall x y \text{ Sibling}(x, y) &\leftarrow \text{Sibling}(y, x) \\ \forall x y \text{ Ancestor}(x, y) &\leftarrow \text{Parent}(x, y) \end{aligned}$$

# Representations

## Phonological rules

$$\left[ \begin{array}{l} +\text{syllabic} \\ -\text{consonantal} \end{array} \right] \rightarrow \left[ +\text{back} \right] / \left[ \begin{array}{l} +\text{back} \\ +\text{syllabic} \\ -\text{consonantal} \end{array} \right] \left[ +\text{consonantal} \right]^* \text{ —}$$

## Semantic networks



# How to learn a R

- Search for R that maximizes

$$P(R|\mathbf{Data}) \propto P(\mathbf{Data}|R)P(R)$$

- Prerequisites
  - Put a prior over a hypothesis space of Rs.
  - Decide how observable data are generated from an underlying R.



# How to learn ~~a~~ <sup>anything</sup> R

- Search for R that maximizes

$$P(R|\mathbf{Data}) \propto P(\mathbf{Data}|R)P(R)$$

- Prerequisites
  - Put a prior over a hypothesis space of Rs.
  - Decide how observable data are generated from an underlying R.

# Context free grammar

$S \rightarrow N VP$

$VP \rightarrow V$

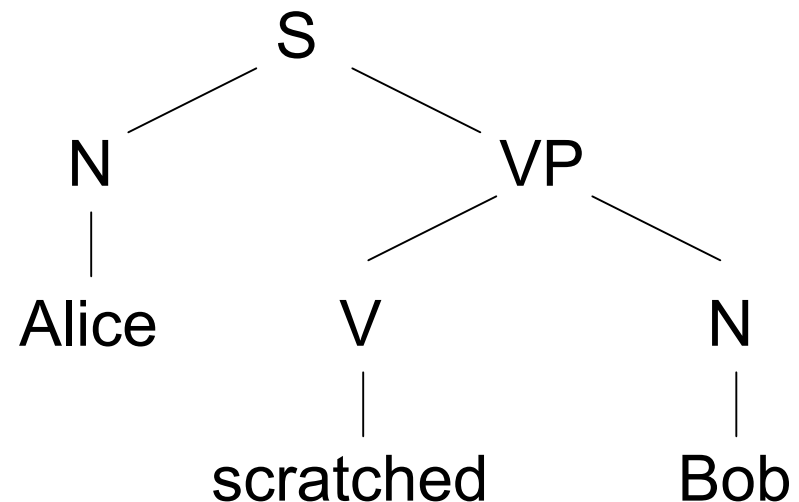
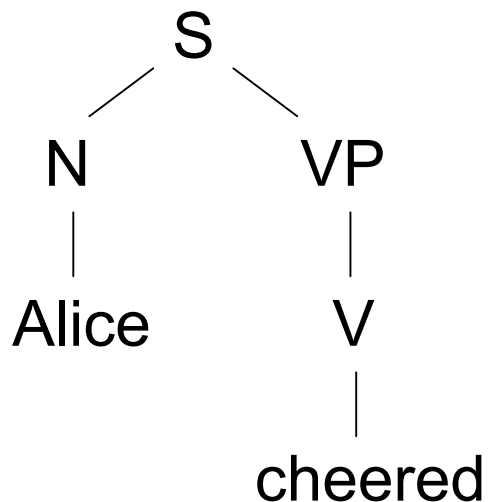
$N \rightarrow \text{"Alice"}$

$V \rightarrow \text{"scratched"}$

$VP \rightarrow V N$

$N \rightarrow \text{"Bob"}$

$V \rightarrow \text{"cheered"}$



# Probabilistic context free grammar

$S \rightarrow N VP$  **1.0**

$VP \rightarrow V$  **0.6**

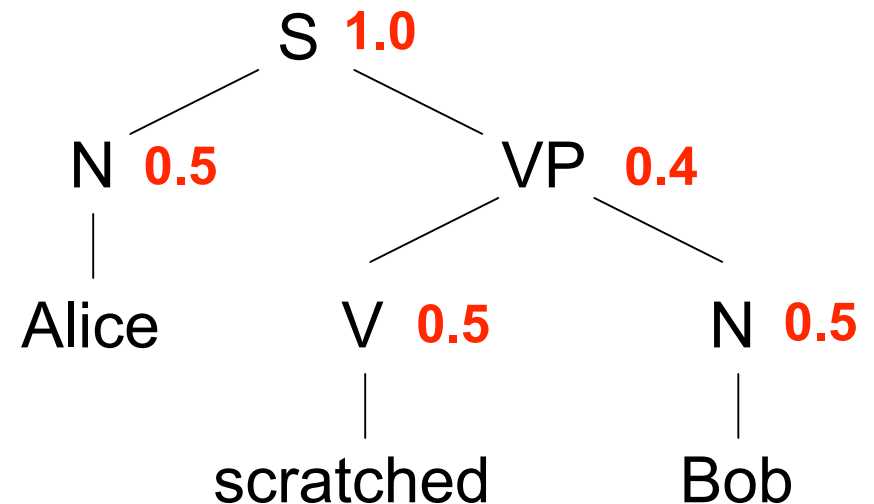
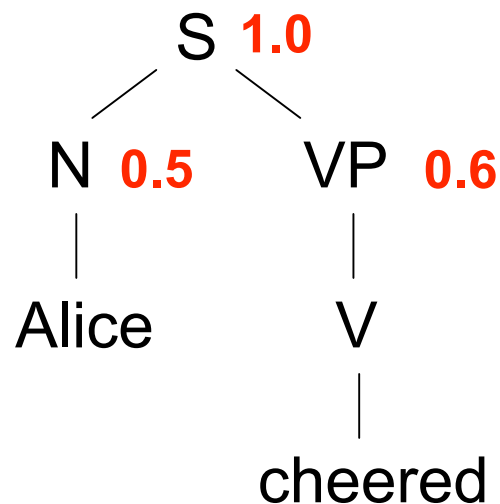
$N \rightarrow \text{"Alice"}$  **0.5**

$V \rightarrow \text{"scratched"}$  **0.5**

$VP \rightarrow V N$  **0.4**

$N \rightarrow \text{"Bob"}$  **0.5**

$V \rightarrow \text{"cheered"}$  **0.5**



$$\begin{aligned} \text{probability} &= 1.0 * 0.5 * 0.6 \\ &= 0.3 \end{aligned}$$

$$\begin{aligned} \text{probability} &= 1.0 * 0.5 * 0.4 * 0.5 * 0.5 \\ &= 0.05 \end{aligned}$$

# The learning problem

Grammar G:

$S \xrightarrow{1.0} N VP$	$VP \xrightarrow{0.6} V$	$N \xrightarrow{0.5} \text{"Alice"}$	$V \xrightarrow{0.5} \text{"scratched"}$
	$VP \xrightarrow{0.4} V N$	$N \xrightarrow{0.5} \text{"Bob"}$	$V \xrightarrow{0.5} \text{"cheered"}$

---

Data D:

Alice scratched.  
Bob scratched.  
Alice scratched Alice.  
Alice scratched Bob.  
Bob scratched Alice.  
Bob scratched Bob.

Alice cheered.  
Bob cheered.  
Alice cheered Alice.  
Alice cheered Bob.  
Bob cheered Alice.  
Bob cheered Bob.

# Grammar learning

- Search for  $G$  that maximizes

$$P(G|\mathbf{Data}) \propto P(\mathbf{Data}|G)P(G)$$

- Prior:  $P(G) \propto 2^{-\text{length}(G)}$
- Likelihood:  $P(\mathbf{Data}|G)$ 
  - assume that sentences in the data are independently generated from the grammar.

(Horning 1969; Stolcke 1994)

# Experiment

S --> NP VP

NP --> Det N

VP --> Vt NP

    --> Vc PP

    --> Vi

PP --> P NP

Det --> a

    --> the

Vt --> touches

    --> covers

Vc --> is

Vi --> rolls

    --> bounces

N --> circle

    --> square

    --> triangle

P --> above

    --> below

- Data: 100 sentences

the circle covers a square

a square is above the triangle

a circle bounces

⋮

(Stolcke, 1994)

## Generating grammar:

```
S --> NP VP
NP --> Det N
VP --> Vt NP
    --> Vc PP
    --> Vi
PP --> P NP
Det --> a
    --> the
Vt --> touches
    --> covers
Vc --> is
Vi --> rolls
    --> bounces
N --> circle
    --> square
    --> triangle
P --> above
    --> below
```

## Model solution:

```
S --> NP VP
NP --> Det N
VP --> VI
    --> X NP
X --> VT
    --> VC P
Det --> a
    --> the
Vt --> touches
    --> covers
Vc --> is
Vi --> rolls
    --> bounces
N --> circle
    --> square
    --> triangle
P --> above
    --> below
```

# Predicate logic

- A compositional language

$$\forall x y \text{ Sibling}(x, y) \leftarrow \text{Sibling}(y, x)$$

For all x and y, if y is the sibling of x then x is the sibling of y

$$\forall x y z \text{ Ancestor}(x, z) \leftarrow \text{Ancestor}(x, y) \wedge \text{Ancestor}(y, z)$$

For all x, y and z, if x is the ancestor of y and y is the ancestor of z, then x is the ancestor of z.



# Learning a kinship theory

Theory T:

$$\forall x y \text{ Sibling}(x, y) \leftarrow \text{Sibling}(y, x)$$

$$\forall x y z \text{ Ancestor}(x, z) \leftarrow \text{Ancestor}(x, y) \wedge \text{Ancestor}(y, z)$$

$$\forall x y \text{ Ancestor}(x, y) \leftarrow \text{Parent}(x, y)$$

$$\forall x y z \text{ Uncle}(x, z) \leftarrow \text{Brother}(x, y) \wedge \text{Parent}(y, z)$$

---

Data D:

Sibling(victoria, arthur), Sibling(arthur,victoria),  
Ancestor(chris,victoria), Ancestor(chris,colin),  
Parent(chris,victoria), Parent(victoria,colin),  
Uncle(arthur,colin), Brother(arthur,victoria) ...

(Hinton, Quinlan, ...)

# Learning logical theories

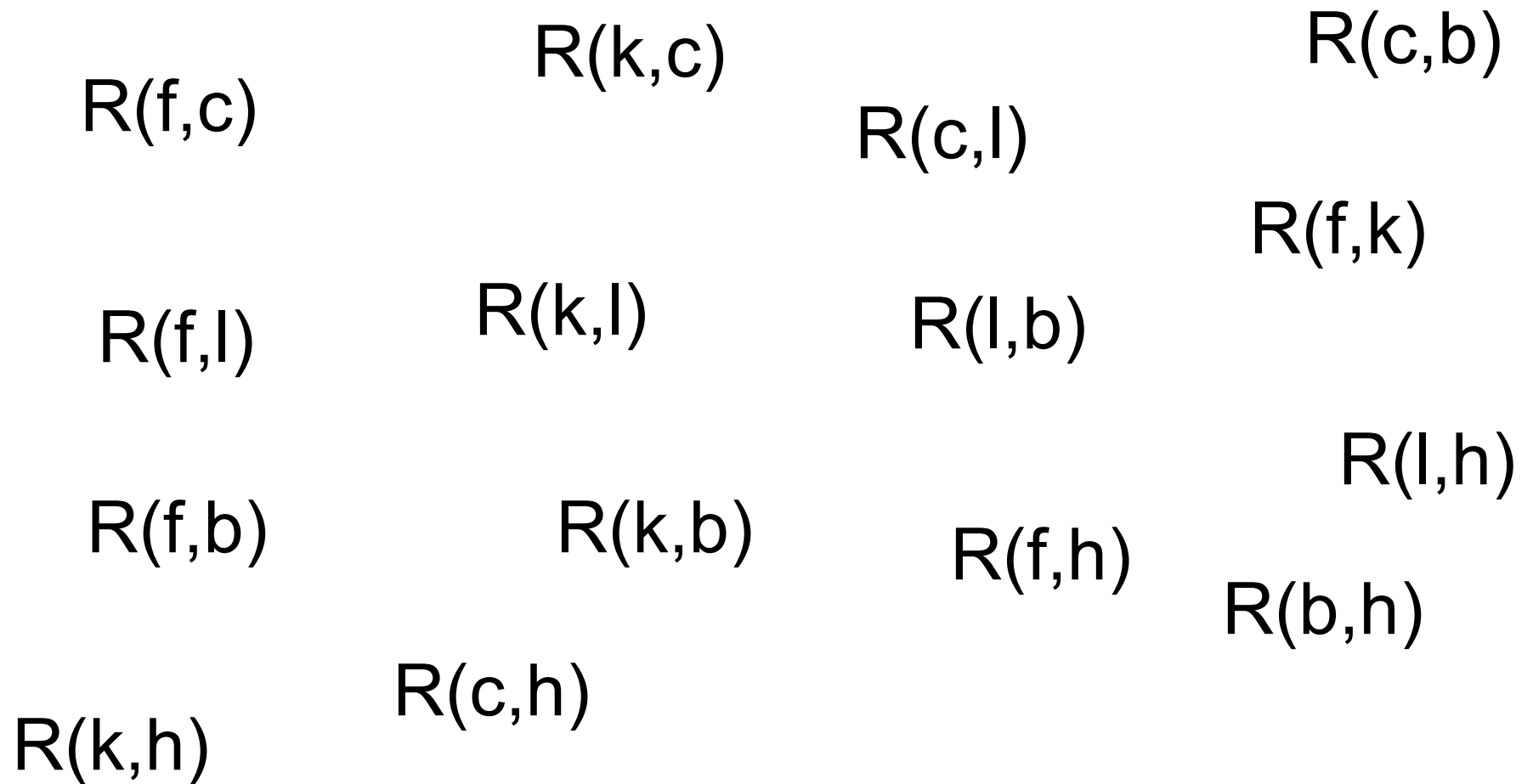
- Search for  $T$  that maximizes

$$P(T|\mathbf{Data}) \propto P(\mathbf{Data}|T)P(T)$$

- Prior:  $P(T) \propto 2^{-\text{length}(T)}$
- Likelihood:  $P(\mathbf{Data}|T)$ 
  - assume that the data include all facts that are true according to  $T$

(Conklin and Witten; Kemp et al 08; Katz et al 08)

# Theory-learning in the lab



(cf Krueger 1979)

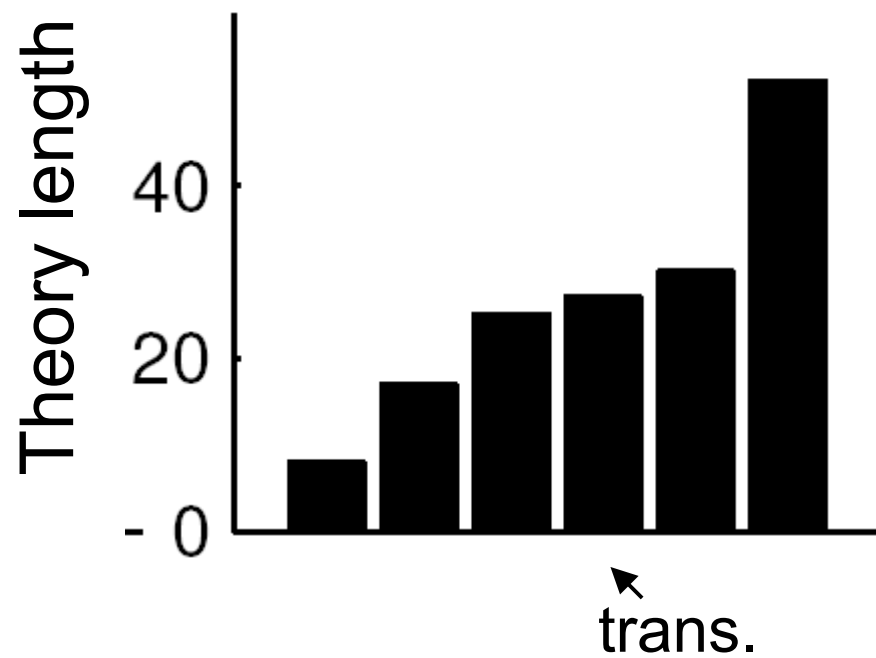
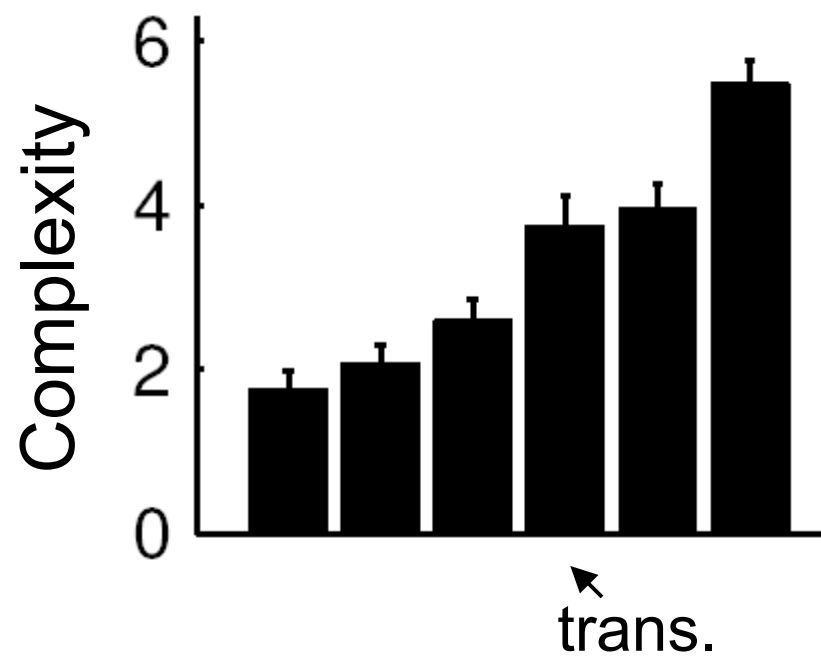
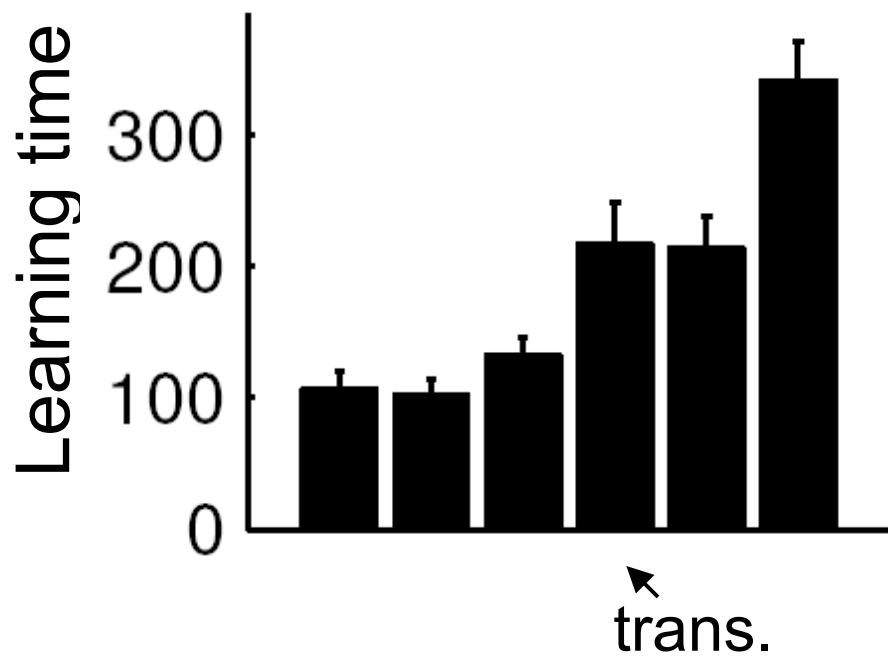
# Theory-learning in the lab

Transitive:  $R(f,k). R(k,c). R(c,l). R(l,b). R(b,h).$

$R(X,Z) \leftarrow R(X,Y), R(Y,Z).$

---

f,k	f,c	f,l	f,b	f,h
	k,c	k,l	k,b	k,h
		c,l	c,b	c,h
			l,b	l,h
				b,h



(Kemp et al 08)

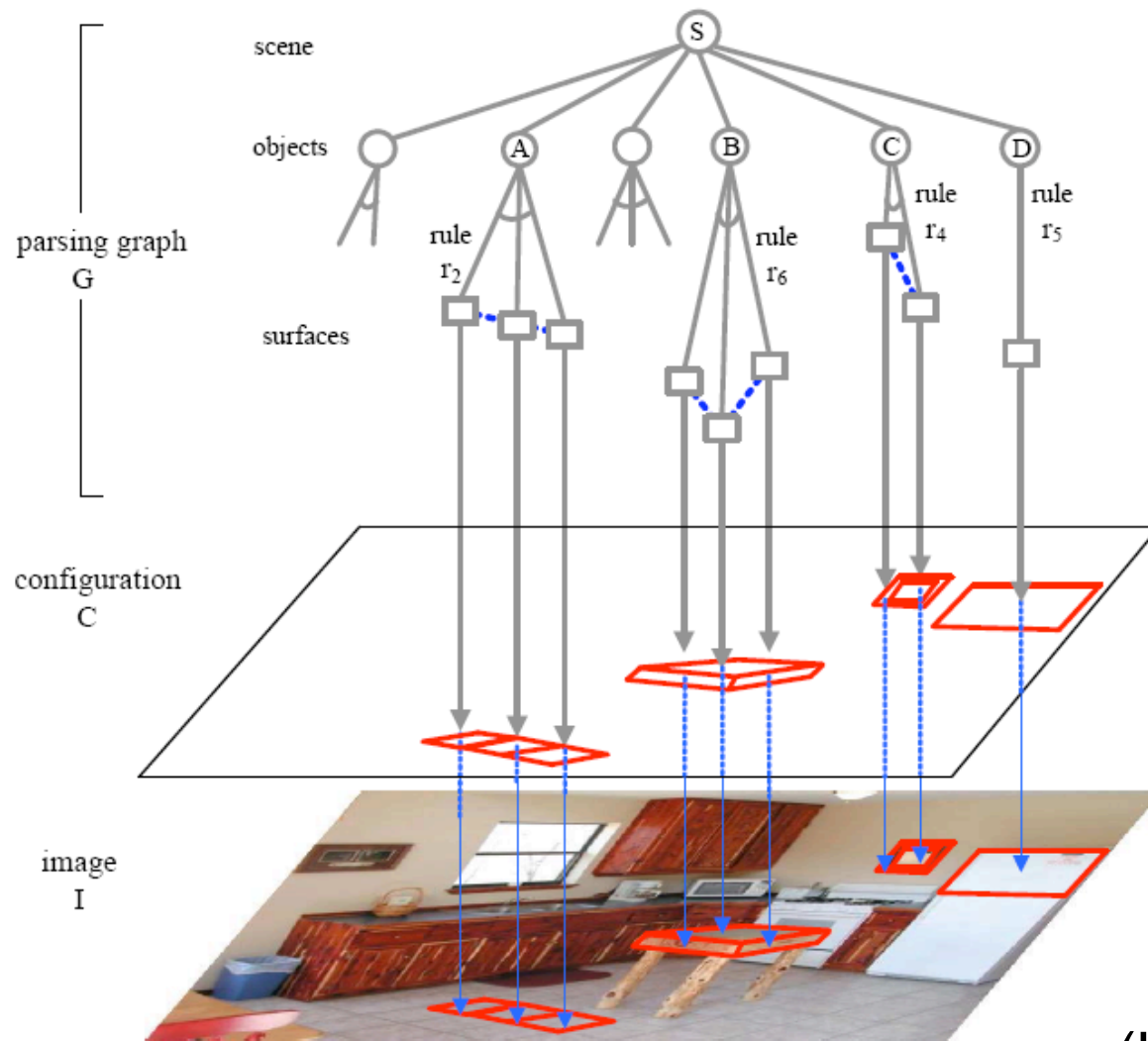
# Conclusion: Part 1

- Bayesian models can combine structured representations with statistical inference.

# Outline

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  - grammars
  - logical theories
- Learning at multiple levels of abstraction

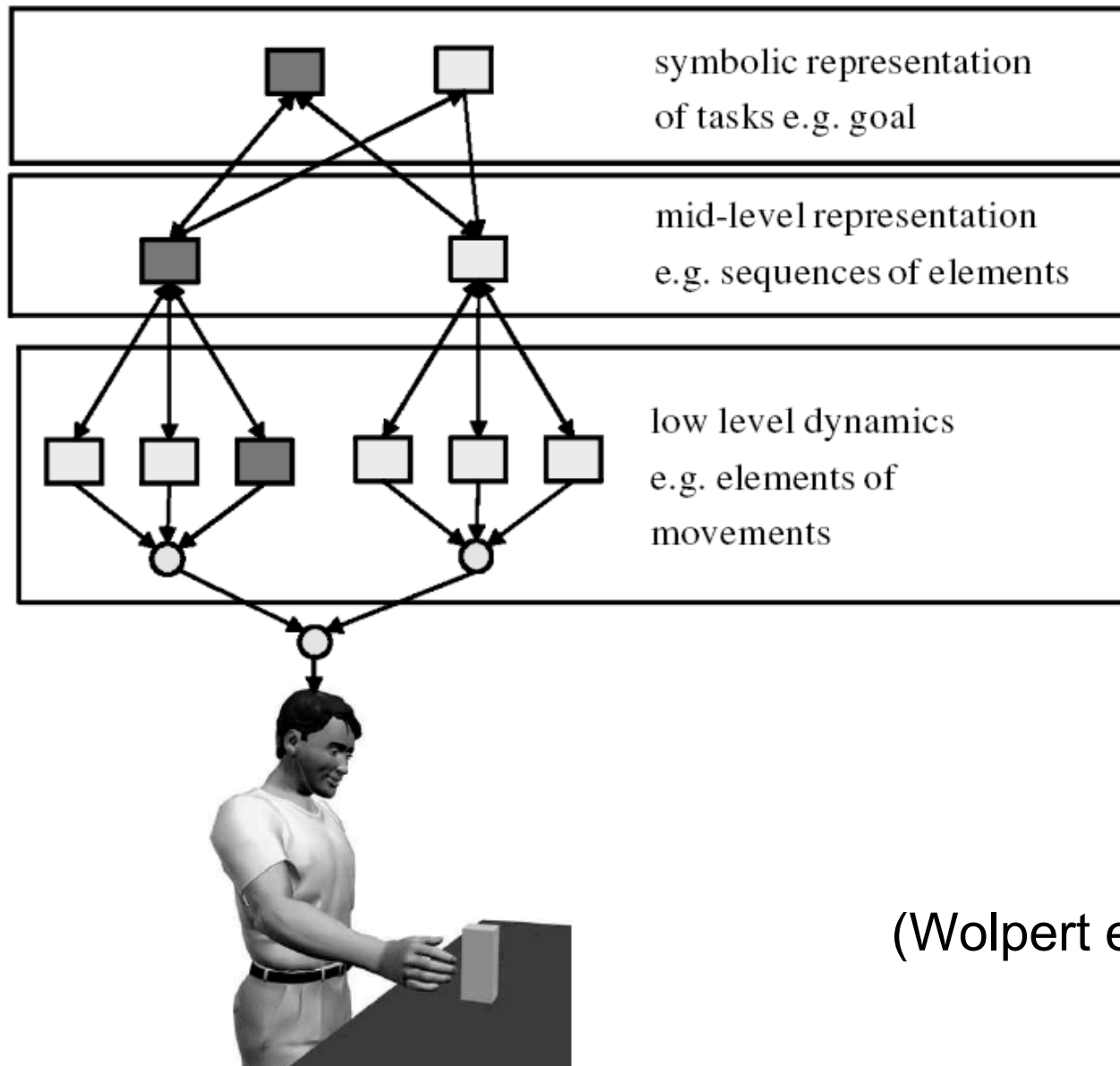
# Vision



(Han and Zhu, 2006)



# Motor Control



(Wolpert et al., 2003)

# Causal learning

Schema



Causal  
models



Contingency  
Data

chemicals



diseases

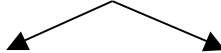


symptoms

asbestos



lung cancer



coughing chest pain

mercury



minamata disease



muscle wasting

Patient 1: asbestos exposure, coughing, chest pain

Patient 2: mercury exposure, muscle wasting

(Kelley; Cheng; Waldmann)

Universal Grammar

↓  $P(\text{grammar} \mid \text{UG})$

Grammar

↓  $P(\text{phrase structure} \mid \text{grammar})$

Phrase structure

↓  $P(\text{utterance} \mid \text{phrase structure})$

Utterance

↓  $P(\text{speech} \mid \text{utterance})$

Speech signal

Hierarchical phrase structure  
grammars (e.g., CFG, HPSG, TAG)

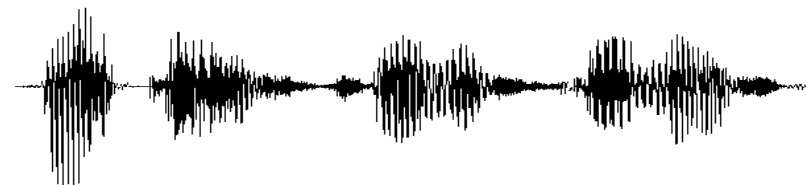
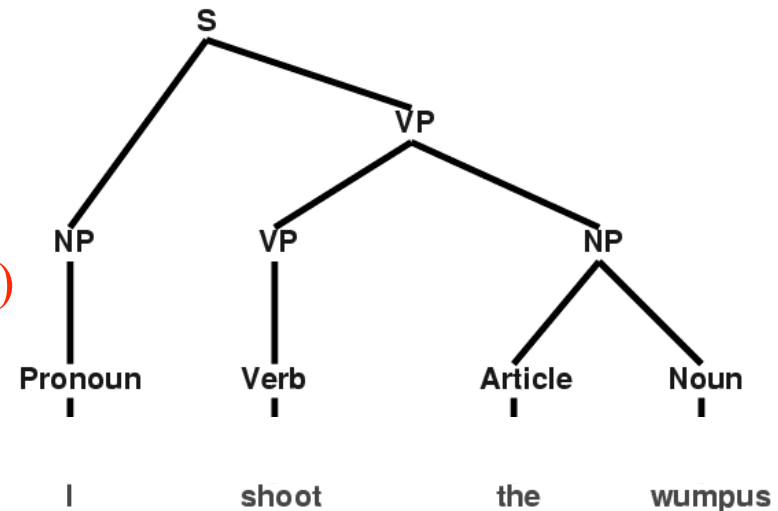
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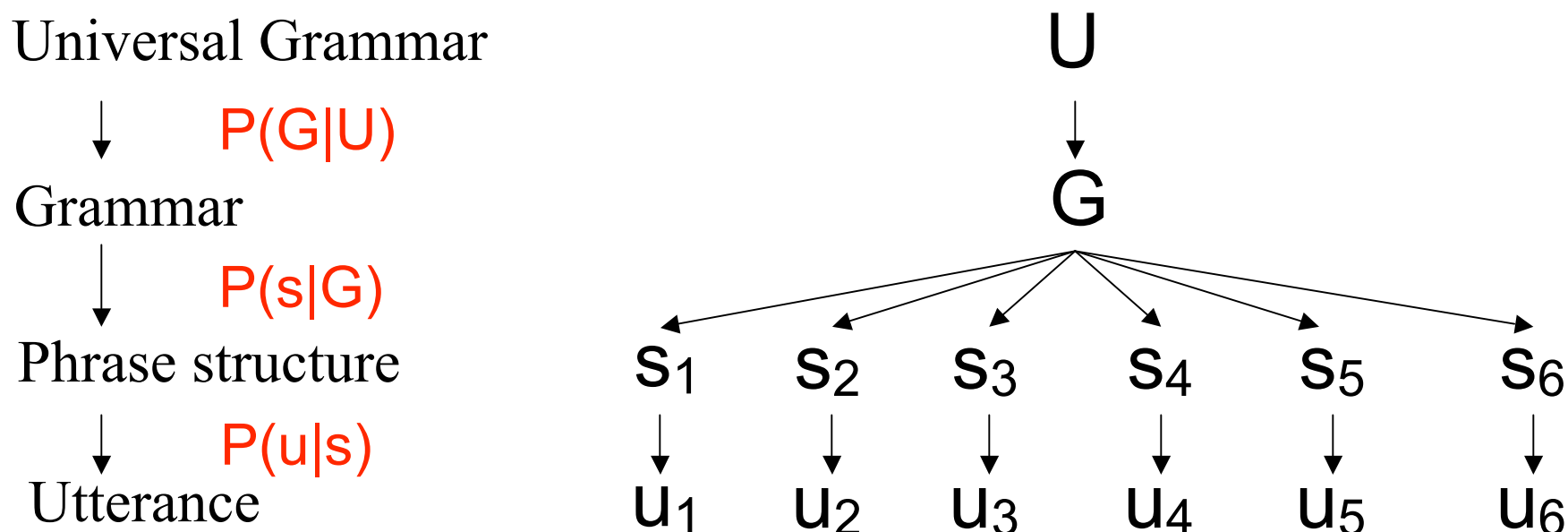
$RelClause \rightarrow [Rel] NP V$

$VP \rightarrow VP NP$

$VP \rightarrow Verb$



# Hierarchical Bayesian model



A hierarchical Bayesian model specifies a joint distribution over all variables in the hierarchy:

$$P(\{u_i\}, \{s_i\}, G \mid U)$$
$$= P(\{u_i\} \mid \{s_i\}) P(\{s_i\} \mid G) P(G|U)$$

# Top-down inferences

Universal Grammar



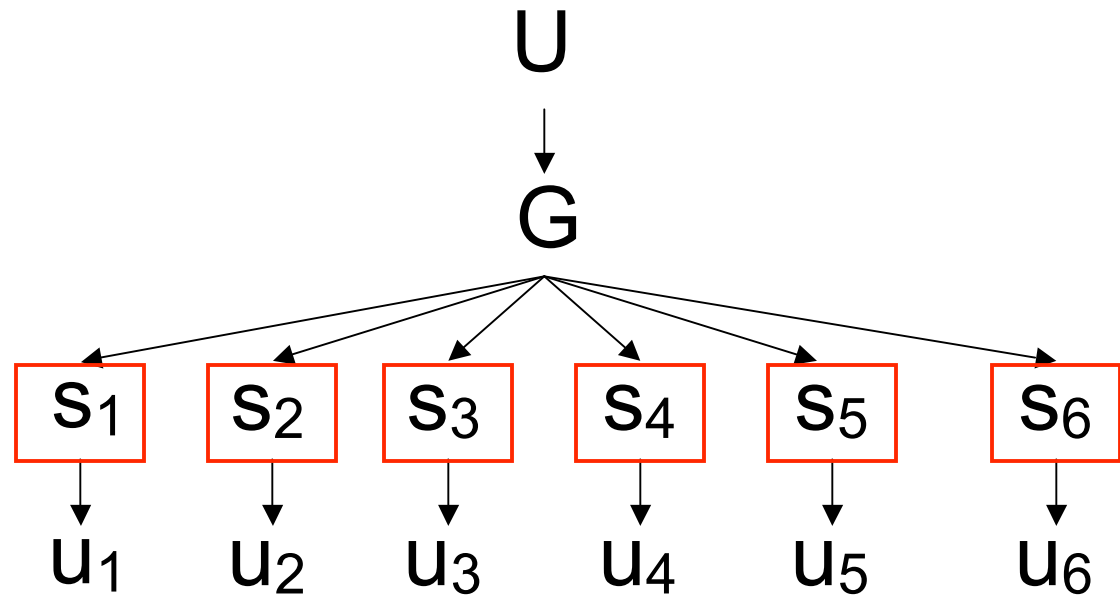
Grammar



Phrase structure



Utterance



Infer  $\{s_i\}$  given  $\{u_i\}$ ,  $G$ :

$$P(\{s_i\} \mid \{u_i\}, G) \propto P(\{u_i\} \mid \{s_i\}) P(\{s_i\} \mid G)$$

# Bottom-up inferences

Universal Grammar



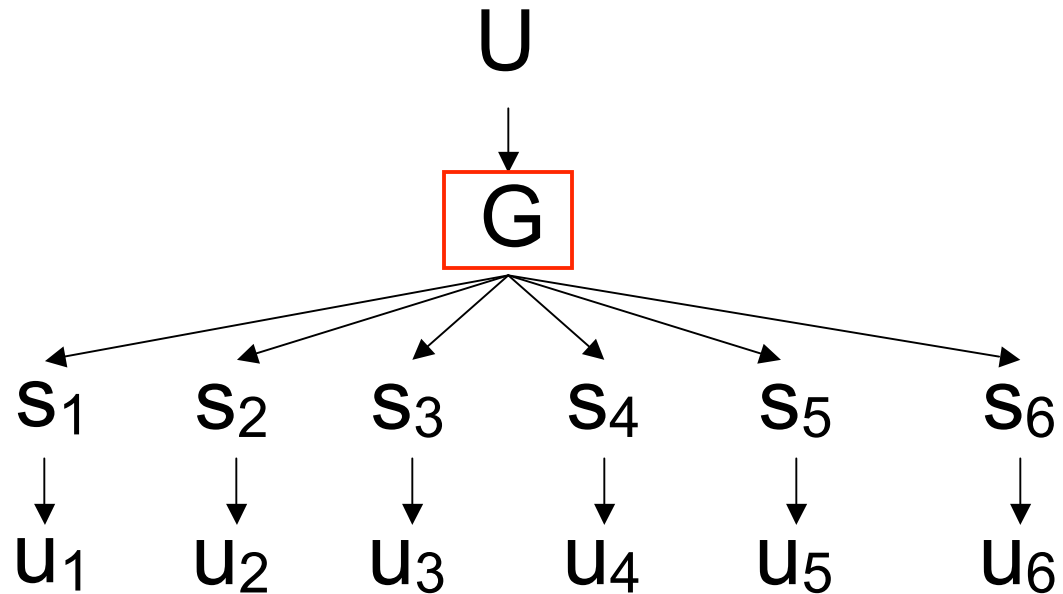
Grammar



Phrase structure



Utterance



Infer  $G$  given  $\{s_i\}$  and  $U$ :

$$P(G | \{s_i\}, U) \propto P(\{s_i\} | G) P(G | U)$$

# Simultaneous learning at multiple levels

Universal Grammar



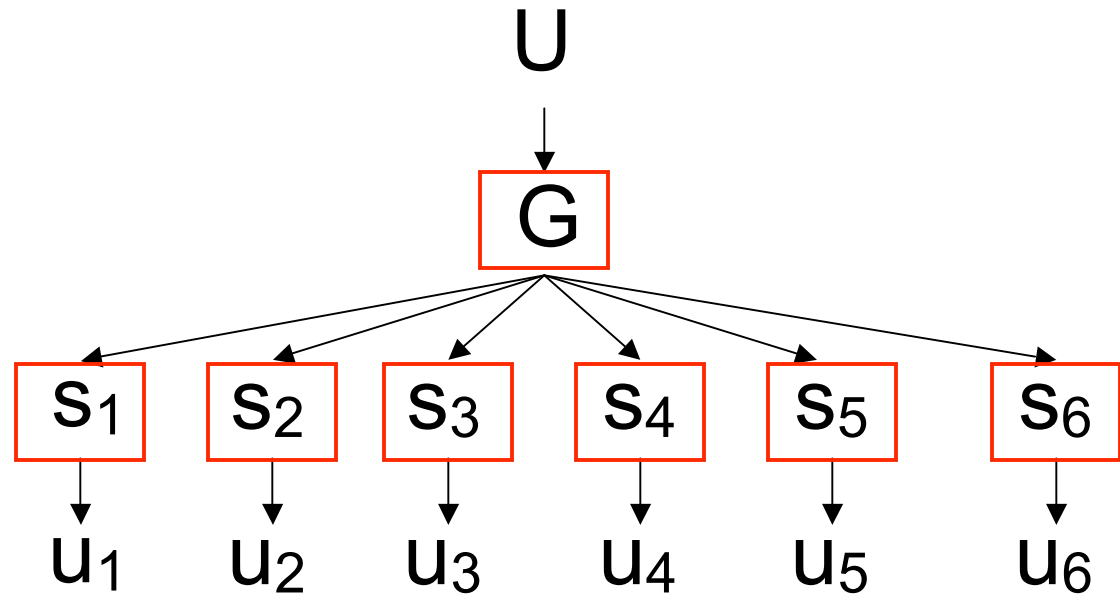
Grammar



Phrase structure



Utterance



Infer  $G$  and  $\{s_i\}$  given  $\{u_i\}$  and  $U$ :

$$P(G, \{s_i\} \mid \{u_i\}, U) \propto P(\{u_i\} \mid \{s_i\})P(\{s_i\} \mid G)P(G \mid U)$$

# Word learning

Words in general

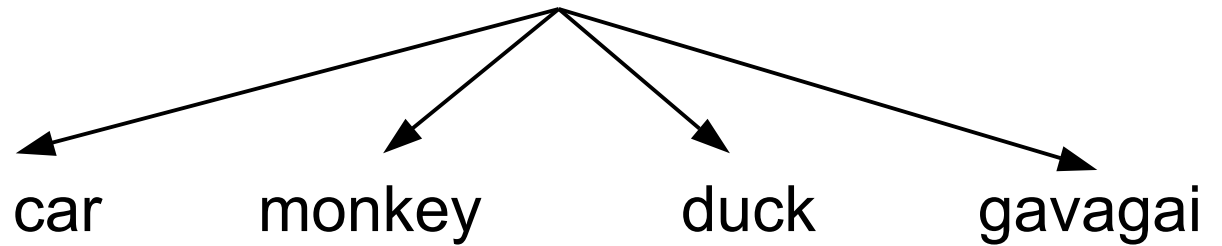


Individual words



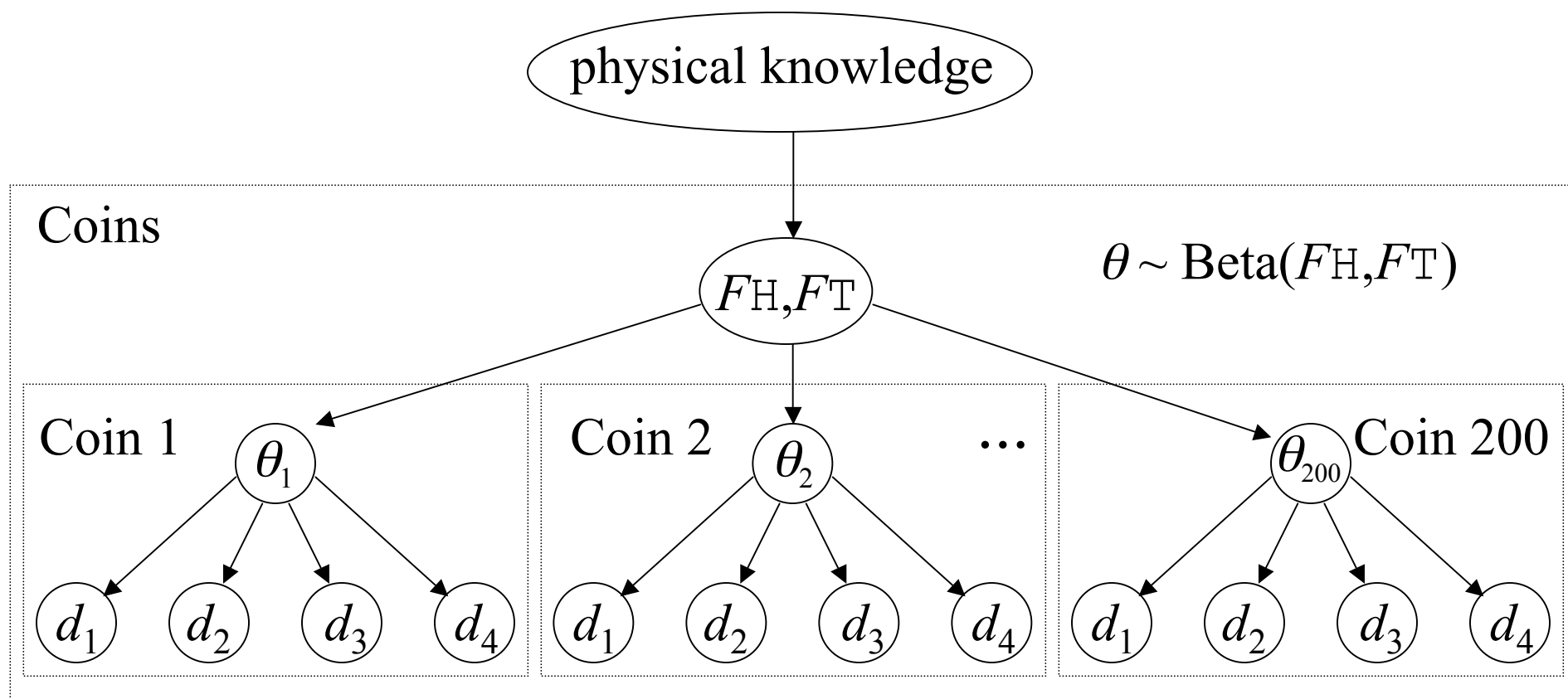
Data

Whole-object bias  
Shape bias





# A hierarchical Bayesian model



- Qualitative physical knowledge (symmetry) can influence estimates of continuous parameters ( $F_H, F_T$ ).
- Explains why 10 flips of 200 coins are better than 2000 flips of a single coin: more informative about  $F_H, F_T$ .

# Word Learning

“This is a dax.”



“Show me the dax.”

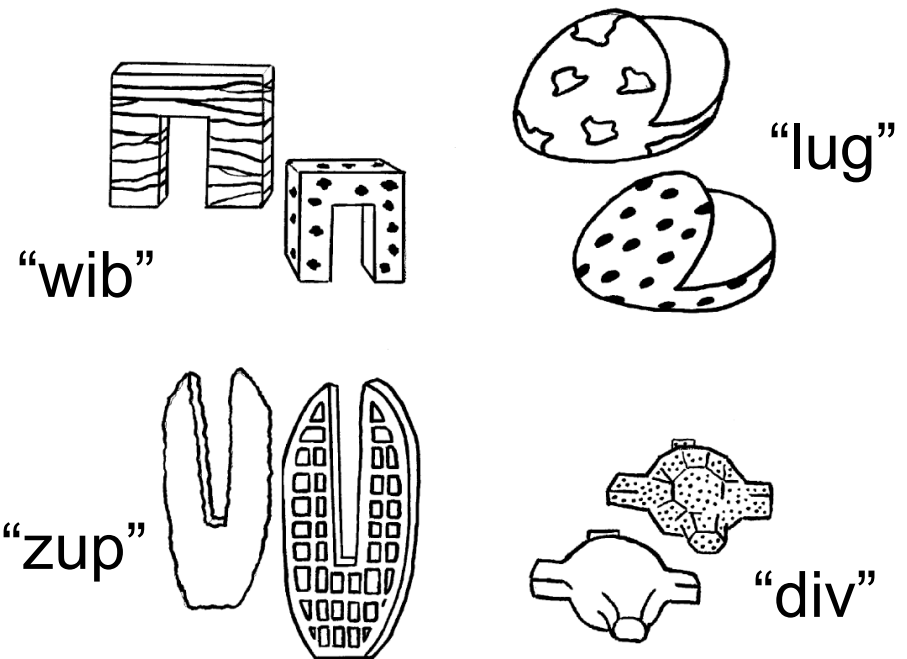


- 24 month olds show a shape bias
- 20 month olds do not

(Landau, Smith & Gleitman)

# Is the shape bias learned?

- Smith et al (2002) trained 17-month-olds on labels for 4 artificial categories:



- After 8 weeks of training 19-month-olds show the shape bias:

“This is a dax.”



“Show me the dax.”



# Learning about feature variability

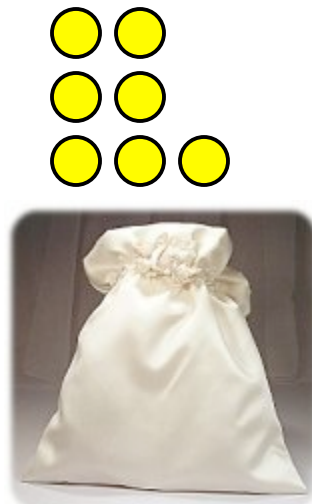


● ?



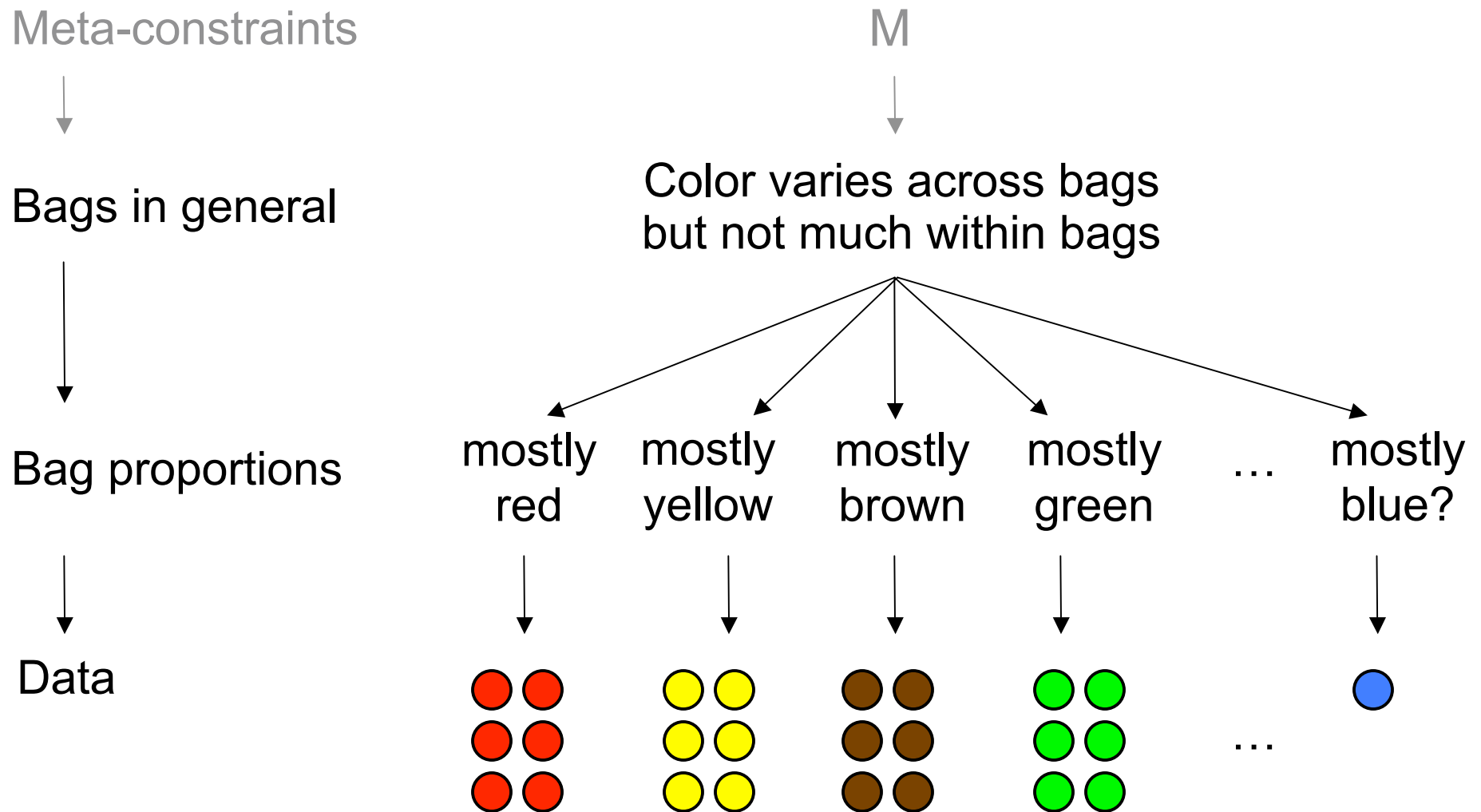
(cf. Goodman)

# Learning about feature variability

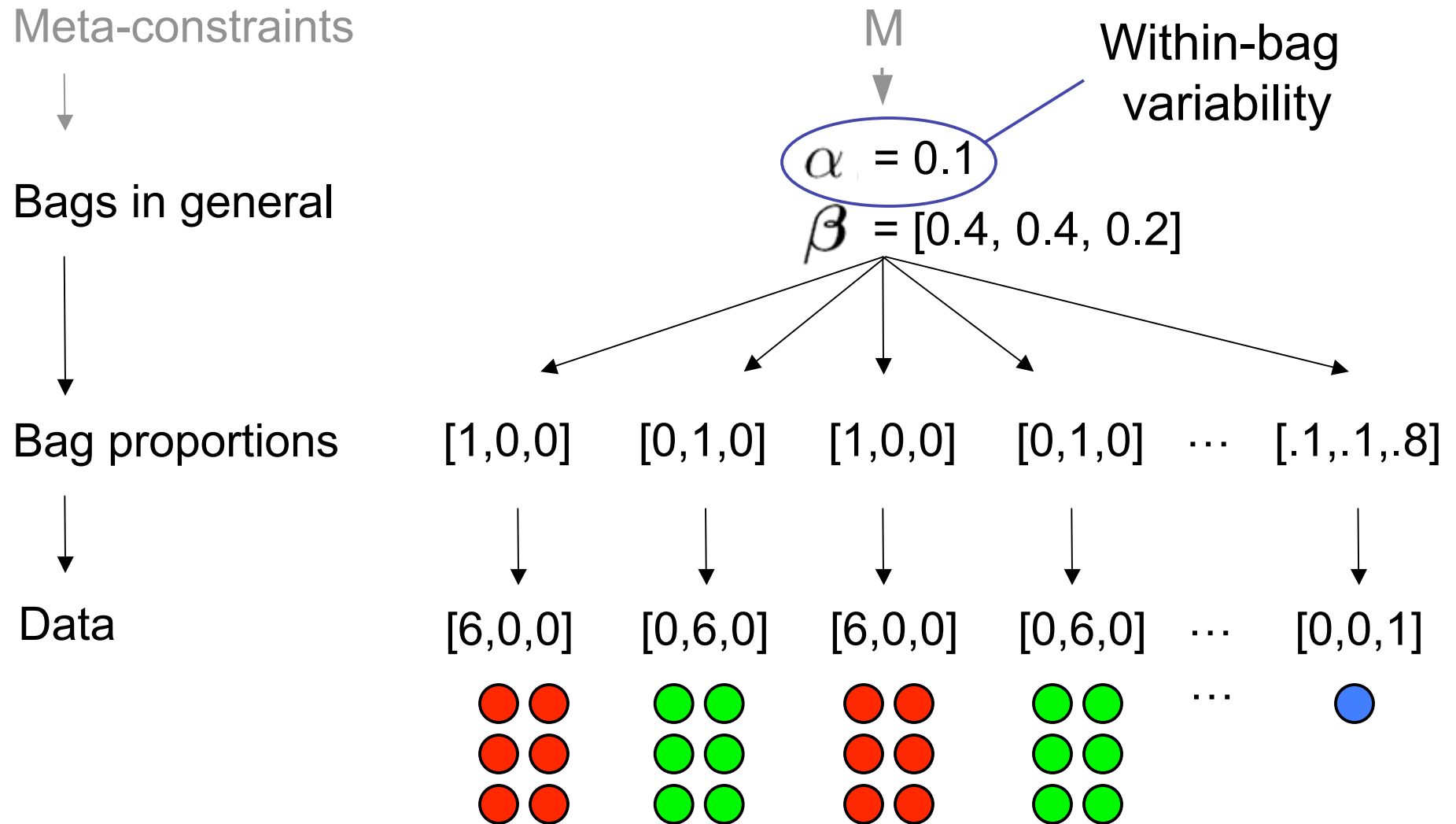


(cf. Goodman)

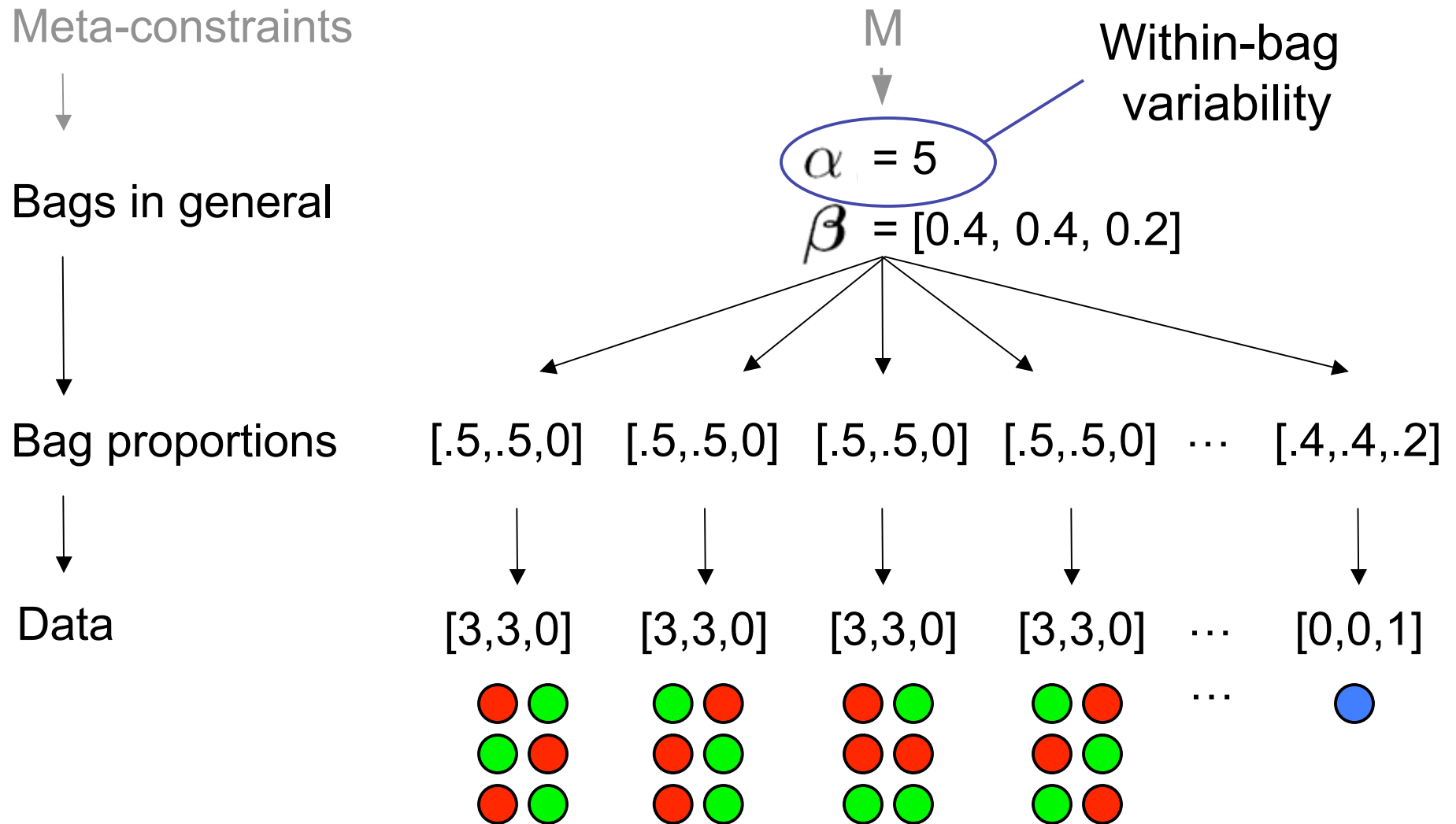
# A hierarchical model



# A hierarchical Bayesian model

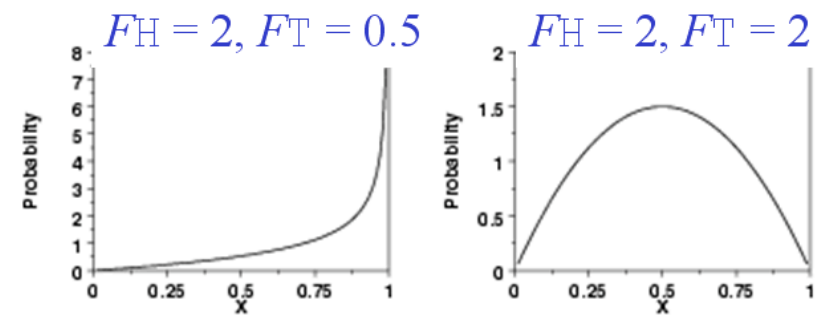
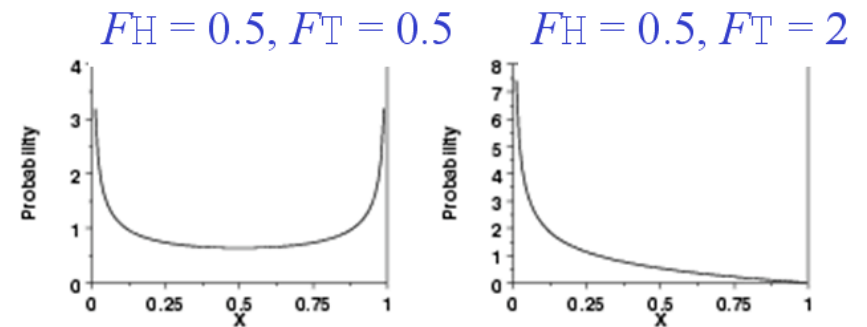
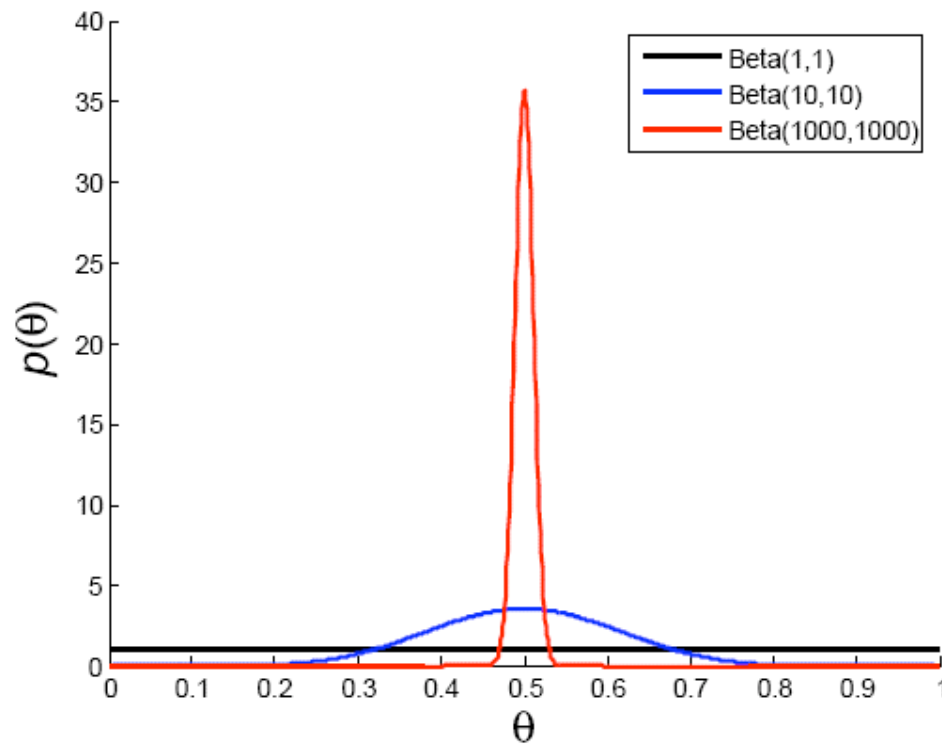


# A hierarchical Bayesian model





# Shape of the Beta prior



# A hierarchical Bayesian model

Meta-constraints



Bags in general



Bag proportions



Data

M



$\alpha, \beta$

$\theta^1$

$\theta^2$

$\theta^3$

$\theta^4$

...

$\theta^n$

$y^1$

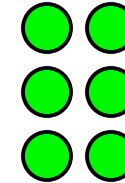
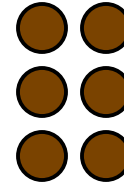
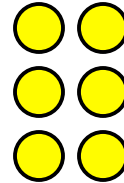
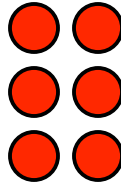
$y^2$

$y^3$

$y^4$

...

$y^n$



$$p(\{y^i\}, \{\theta^i\}, \alpha, \beta | \lambda)$$

$\alpha$	$\sim \text{Exponential}(\lambda)$
$\beta$	$\sim \text{Dirichlet}(\mathbf{1})$
$\theta^i$	$\sim \text{Dirichlet}(\alpha\beta)$
$y^i$	$\sim \text{Multinomial}(\theta^i)$

# A hierarchical Bayesian model

Meta-constraints



Bags in general



Bag proportions



Data

M



$\alpha, \beta$

$\theta^1$

$\theta^2$

$\theta^3$

$\theta^4$

...

$\theta^n$

$y^1$

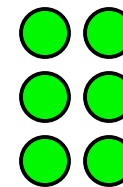
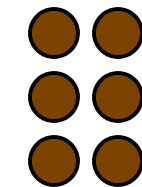
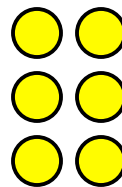
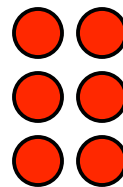
$y^2$

$y^3$

$y^4$

...

$y^n$



$$p(\{\theta^i\}, \alpha, \beta | \{y^i\}, \lambda)$$

$\alpha$	$\sim \text{Exponential}(\lambda)$
$\beta$	$\sim \text{Dirichlet}(\mathbf{1})$
$\theta^i$	$\sim \text{Dirichlet}(\alpha\beta)$
$y^i$	$\sim \text{Multinomial}(\theta^i)$

# Learning about feature variability

Meta-constraints



Categories in general



Individual categories



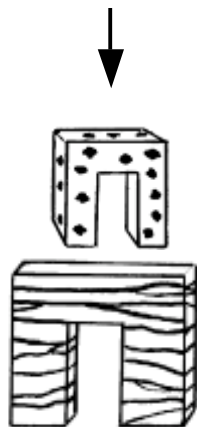
Data

M



$\alpha, \beta$

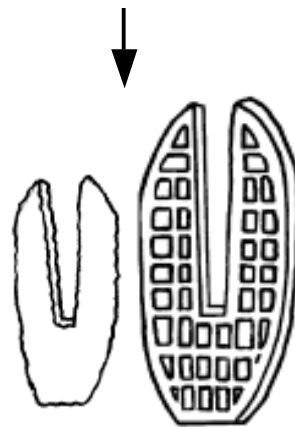
$\theta^1$



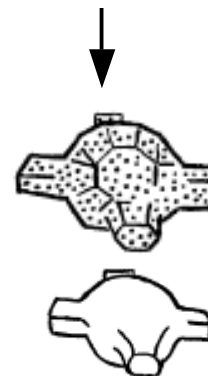
$\theta^2$



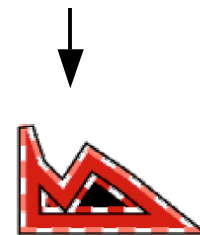
$\theta^3$



$\theta^4$



$\theta^5$

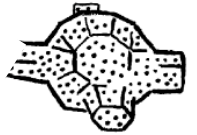
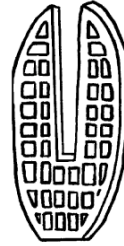
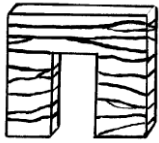


“wib”

“lug”

“zup”

“div”



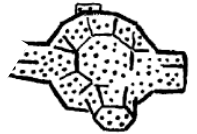
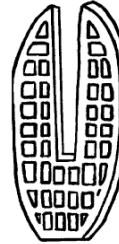
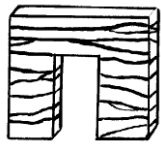
Category	1	1	2	2	3	3	4	4
Shape	1	1	2	2	3	3	4	4
Texture	1	2	3	4	5	6	7	8
Color	1	2	3	4	5	6	7	8
Size	1	2	1	2	1	2	1	2

“wib”

“lug”

“zup”

“div”



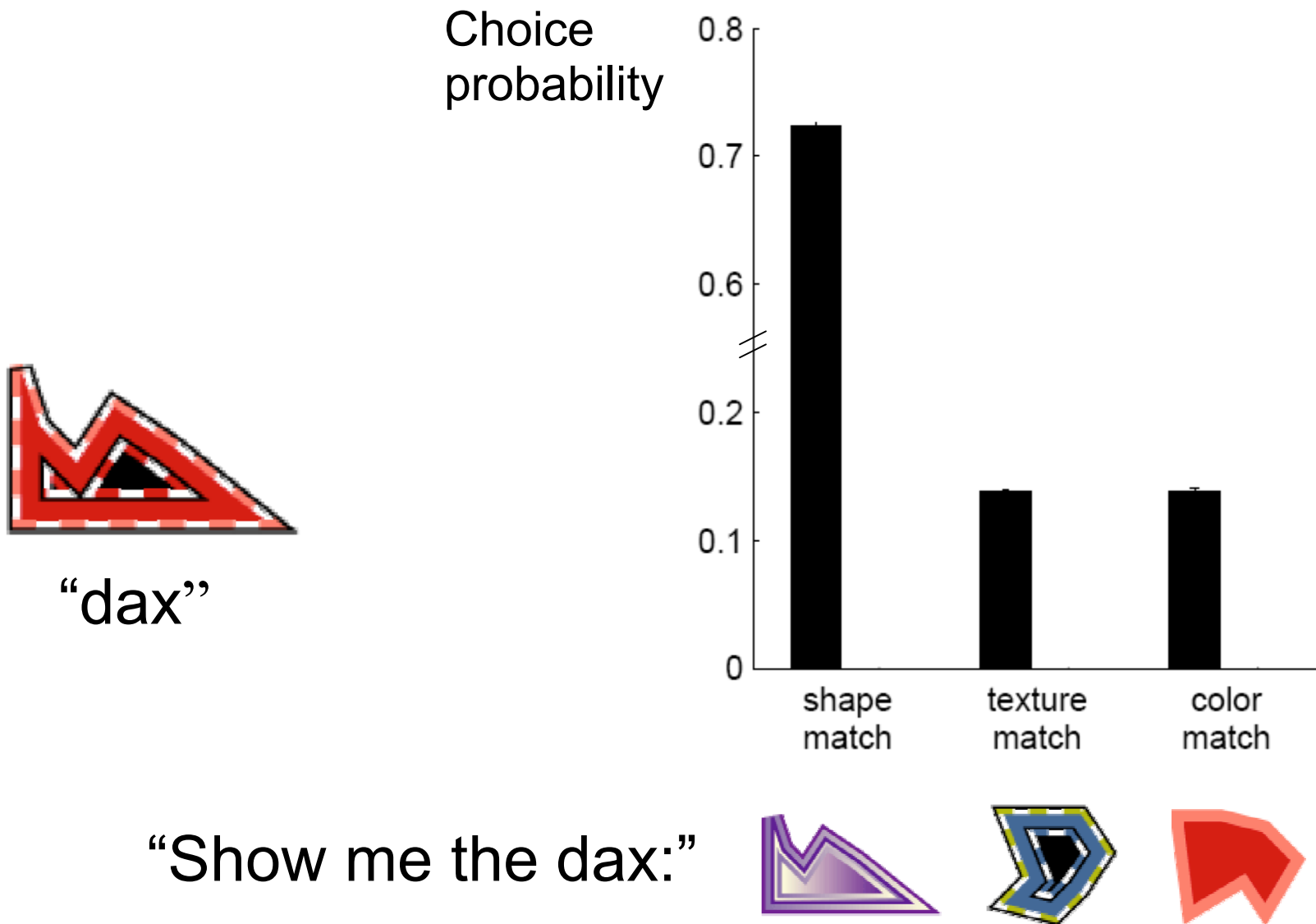
Category	1	1	2	2	3	3	4	4
Shape	1	1	2	2	3	3	4	4
Texture	1	2	3	4	5	6	7	8
Color	1	2	3	4	5	6	7	8
Size	1	2	1	2	1	2	1	2

	5	?	?	?
	5	5	6	6
	9	10	9	10
	9	10	10	9
	1	1	1	1



“dax”

# Model predictions



# Where do priors come from?

Meta-constraints



Categories in general



Individual categories



Data



$\alpha, \beta$

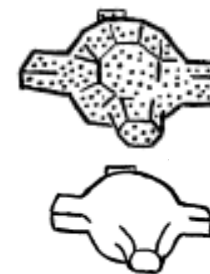
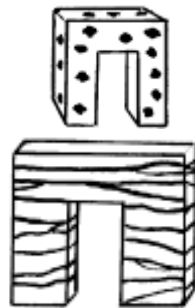
$\theta^1$

$\theta^2$

$\theta^3$

$\theta^4$

$\theta^5$





# Knowledge representation

Mendeleev's Periodic Table of 1869<sup>1</sup>

				Ti 50	Zr 90	? 100
				V 51	Nb 94	Ta 182
				Cr 52	Mo 96	W 186
				Mn 55	Rh 104.4	Pt 197.4
				Fe 56	Ru 104.4	Ir 198
				Ni, Co 59	Pd 106.6	Os 199
H 1				Cu 63.4	Ag 108	Hg 200
	Be 9.4	Mg 24		Zn 65.2	Cd 112	
	B 11	Al 27.4		? 68	U 116	Au 197?
	C 12	Si 28		? 70	Sn 118	
	N 14	P 31		As 75	Sb 122	Bi 210?
	O 16	S 32		Se 79.4	Te 128?	
	F 19	Cl 35.5		Br 80	I 127	
Li 7	Na 23	K 39		Rb 85.4	Cs 133	Tl 204
		Ca 40		Sr 87.6	Ba 137	Pb 207
		? 45		Ce 92		
		Er? 56		La 94		
		Yt? 60		Di 95		
		In 75.6?		Th 118?		

# Children discover structural form

- Children may discover that
  - Social networks are often organized into cliques
  - The months form a cycle
  - “Heavier than” is transitive
  - Category labels can be organized into hierarchies

# A hierarchical Bayesian model

Meta-constraints



Form



Structure



Data

M



Tree

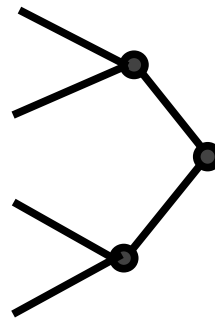


mouse

squirrel

chimp

gorilla



whiskers

hands

tail

mouse



squirrel



chimp



gorilla



# A hierarchical Bayesian model

Meta-constraints  
↓

F: form



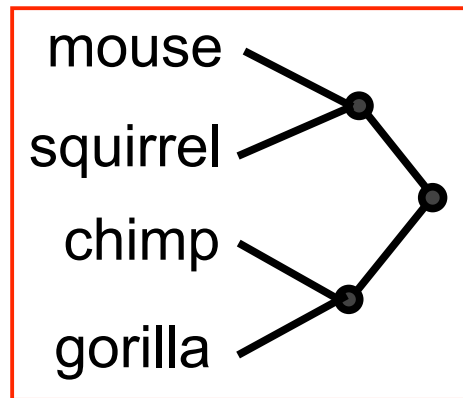
S: structure



D: data

M  
↓

Tree



whiskers

hands

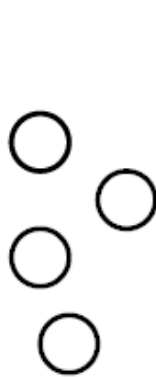
tail

mouse  
squirrel  
chimp  
gorilla

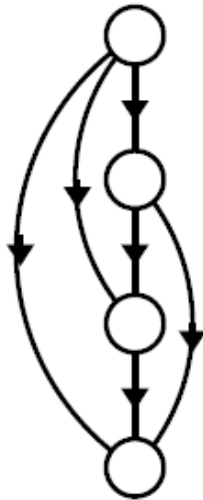


$$P(S, F|D, n) \propto P(D|S)P(S|F, n)P(F)$$

# Structural forms



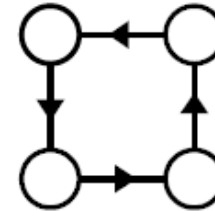
Partition



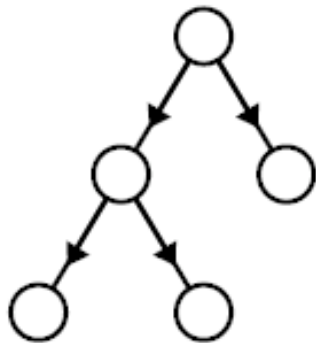
Order



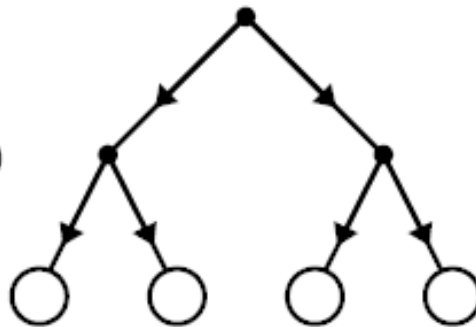
Chain



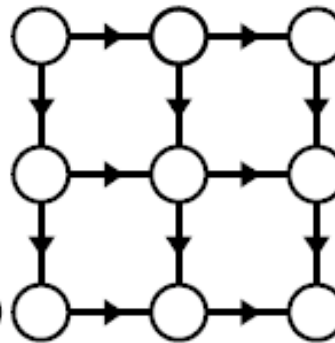
Ring



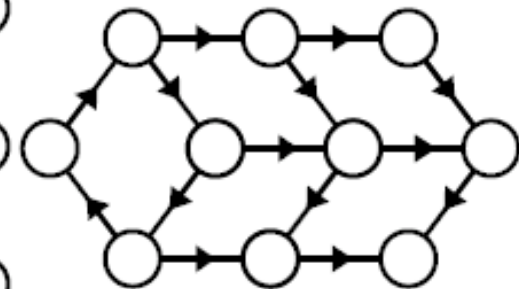
Hierarchy



Tree

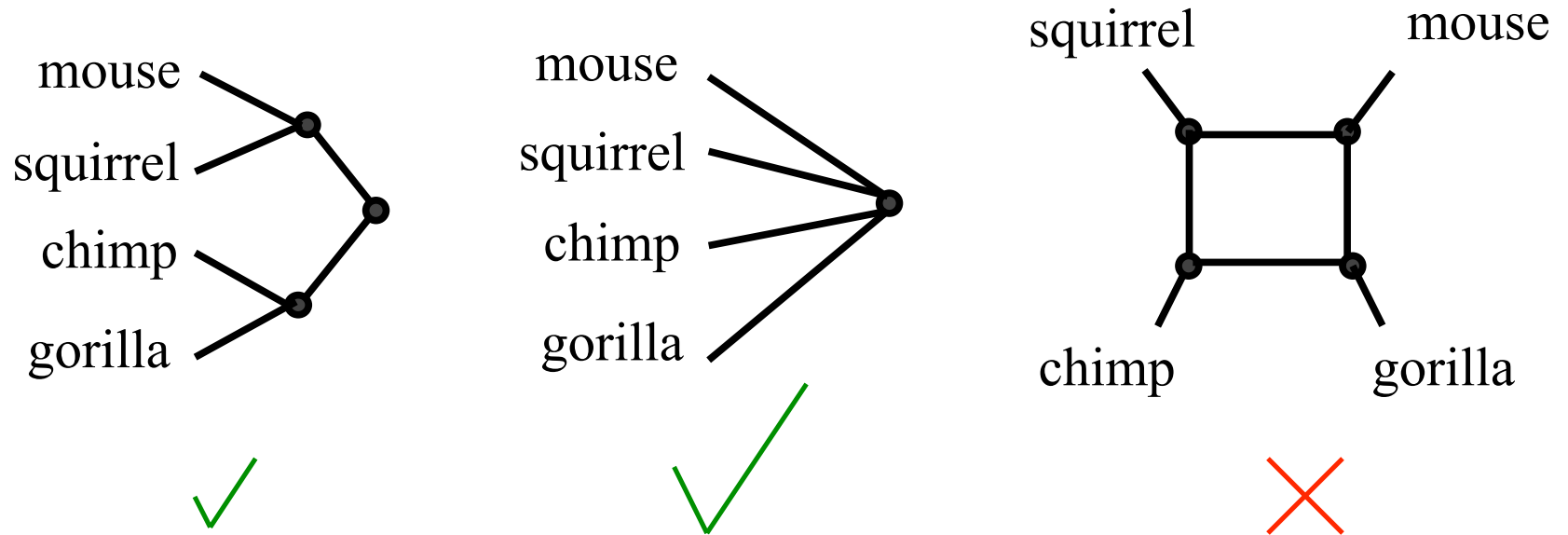


Grid



Cylinder

# $P(S|F,n)$ : Generating structures



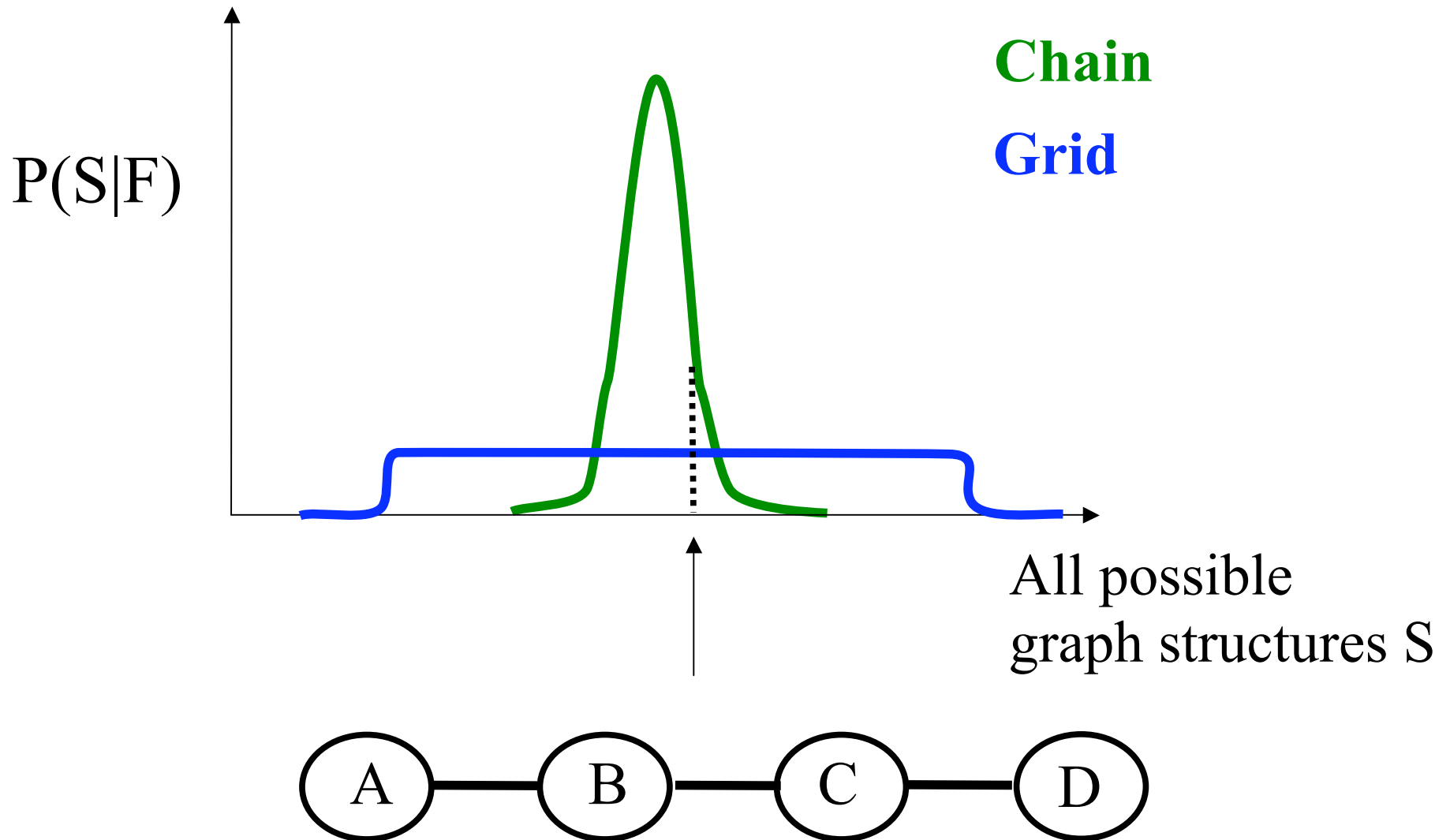
- Each structure is weighted by the number of nodes it contains:

$$P(S|F) \propto \begin{cases} 0 & \text{if } S \text{ inconsistent with } F \\ \theta(1 - \theta)^{|S|} & \text{otherwise} \end{cases}$$

where  $|S|$  is the number of nodes in  $S$

# $P(S|F, n)$ : Generating structures from forms

- Simpler forms are preferred



# A hierarchical Bayesian model

Meta-constraints  
↓

F: form



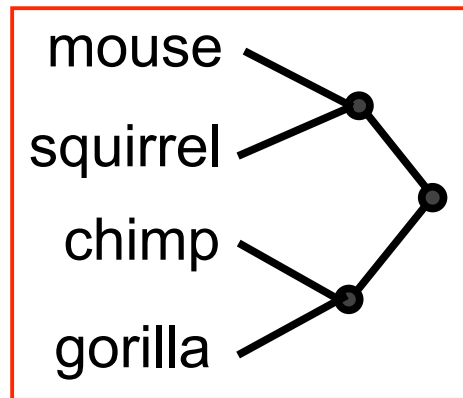
S: structure



D: data

M  
↓

Tree



whiskers

hands

tail

mouse  
squirrel  
chimp  
gorilla

●  
●  
○  
○

○  
○  
●  
●

●  
●  
○  
○

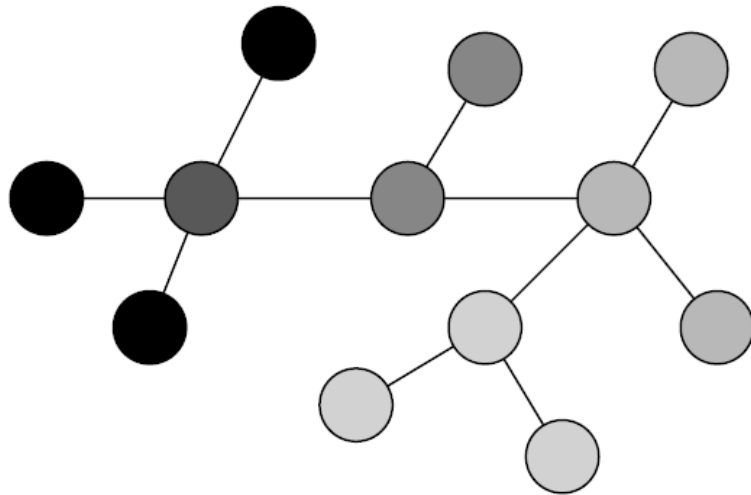
$$P(S, F|D, n) \propto P(D|S)P(S|F, n)P(F)$$



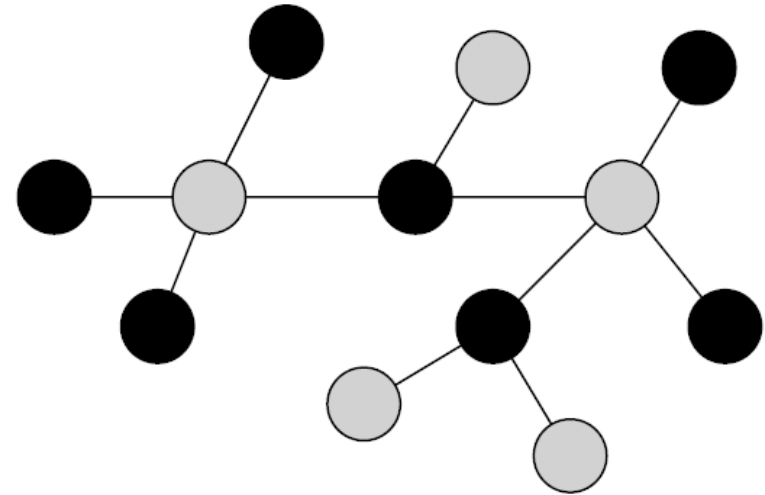
# $p(D|S)$ : Generating feature data

- Intuition: features should be smooth over graph  $S$

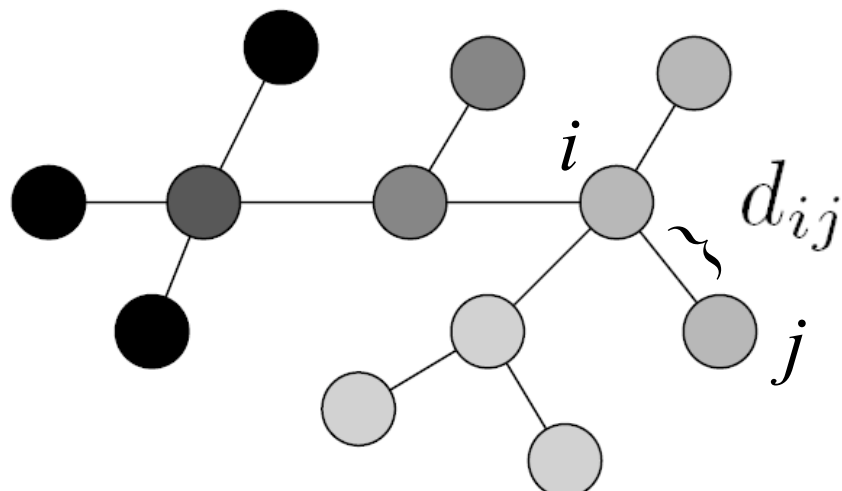
Relatively smooth



Not smooth



# $p(D|S)$ : Generating feature data



Let  $f_i$  be the feature value at node  $i$

$$p(f) \propto \exp \left( -\frac{1}{4} \sum_{i,j} \frac{(f_i - f_j)^2}{d_{ij}} \right)$$

(Zhu, Lafferty & Ghahramani)

# A hierarchical Bayesian model

Meta-constraints  
↓

F: form



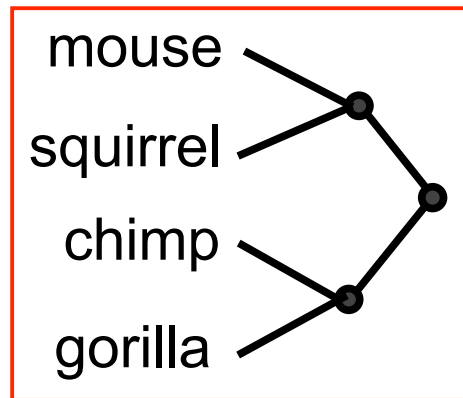
S: structure



D: data

M  
↓

Tree



whiskers

hands

tail

mouse  
squirrel  
chimp  
gorilla

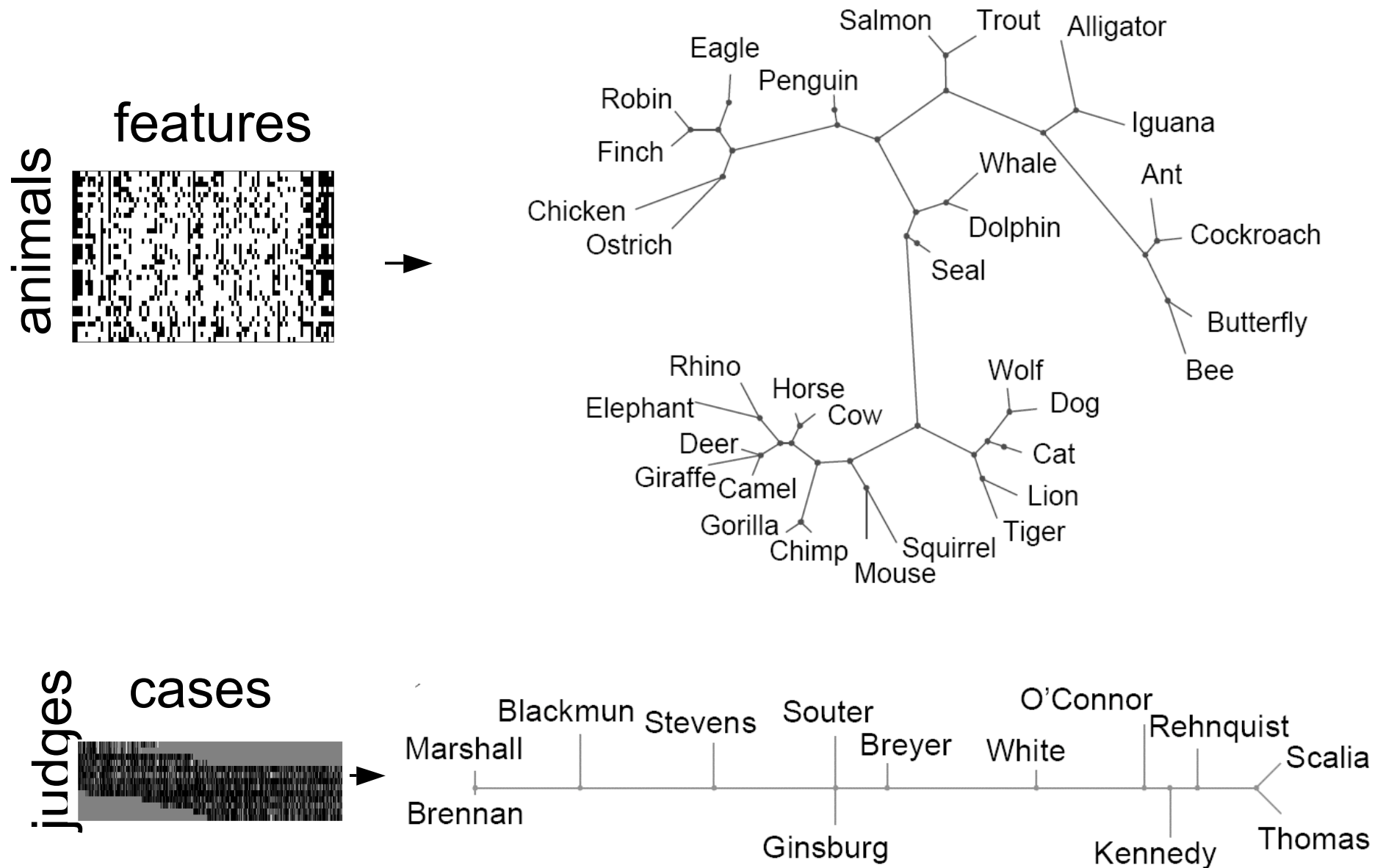
●  
●  
○  
○

○  
○  
●  
●

●  
●  
○  
○

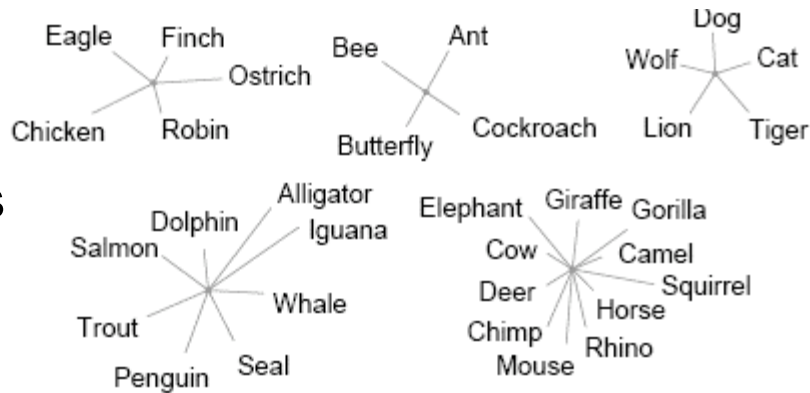
$$P(S, F|D, n) \propto P(D|S)P(S|F, n)P(F)$$

# Feature data: results



# Developmental shifts

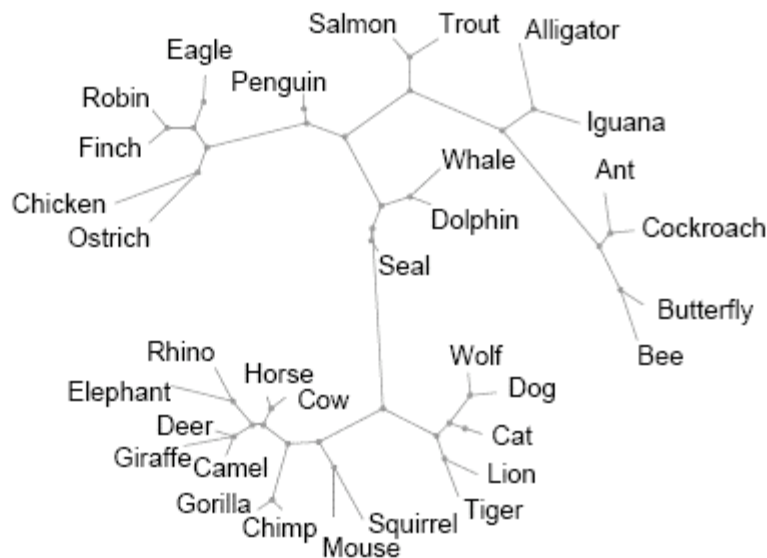
5 features



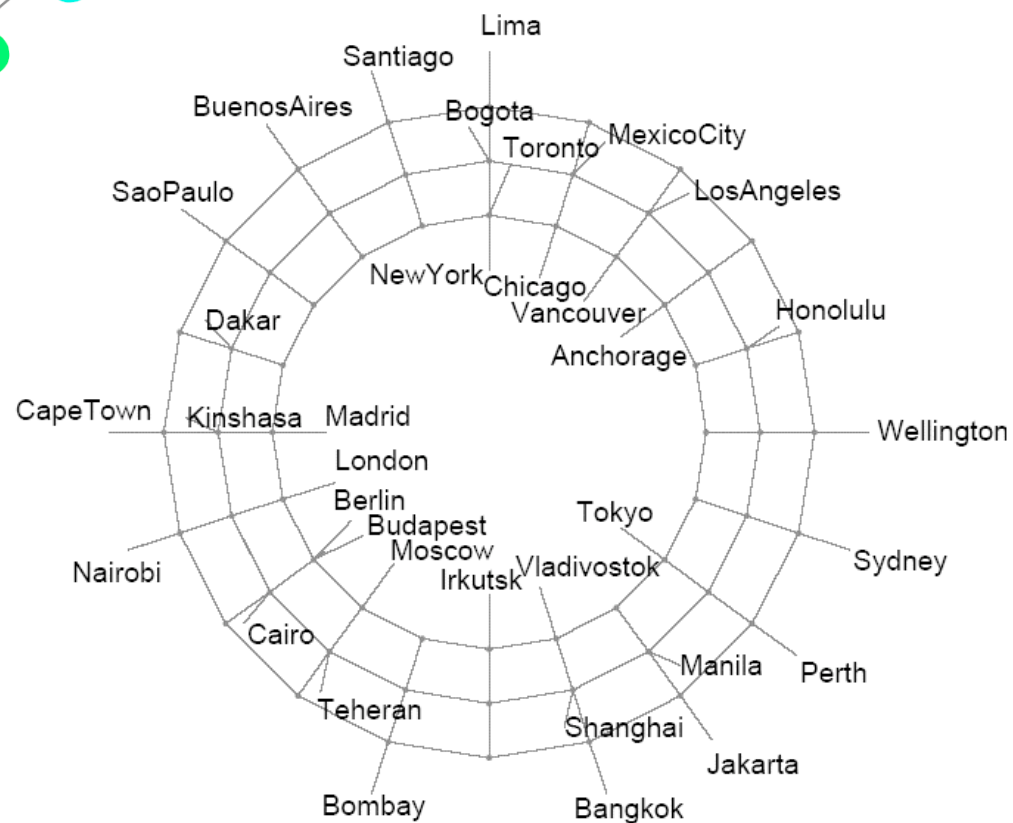
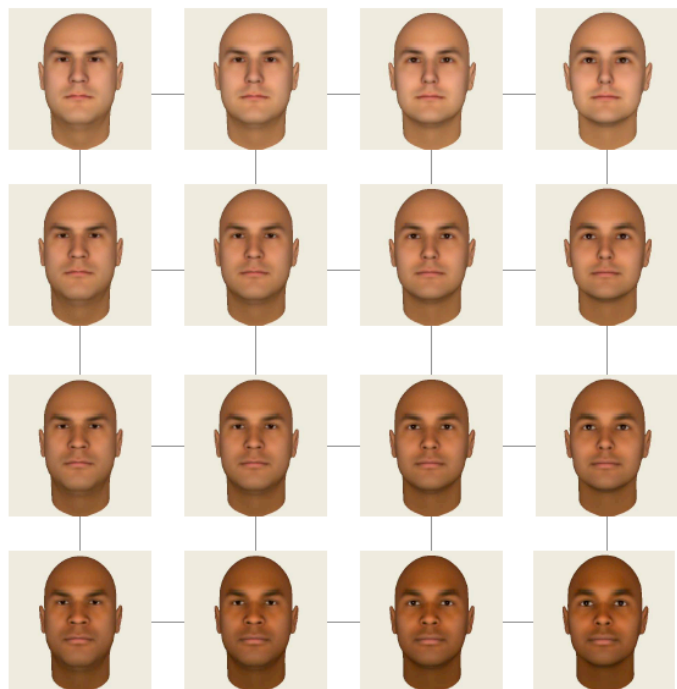
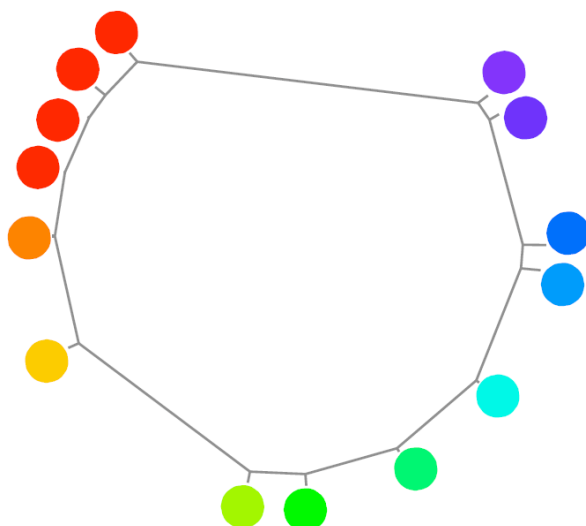
20 features



110 features



# Similarity data: results



# Relational data

Meta-constraints



Form



Structure

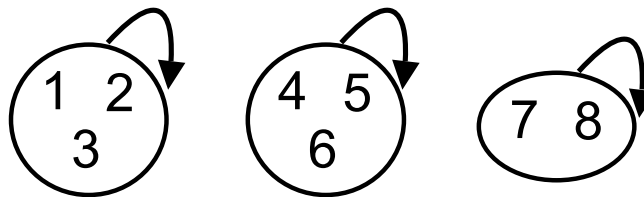


Data

M



Cliques

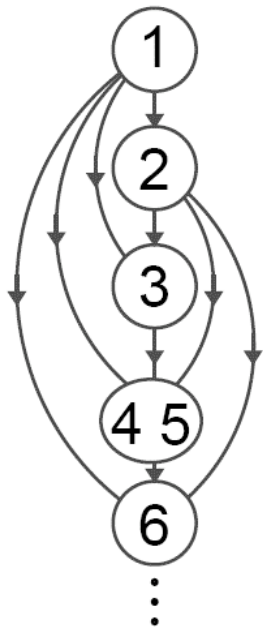


	1	2	3	4	5	6	7	8
1	●	●	●	○	○	○	○	○
2	●	●	●	○	○	○	○	○
3	●	●	●	○	○	○	○	○
4	○	○	○	●	●	●	○	○
5	○	○	○	●	●	●	○	○
6	○	○	○	●	●	●	○	○
7	○	○	○	○	○	○	●	●
8	○	○	○	○	○	○	●	●

# Relational data: results

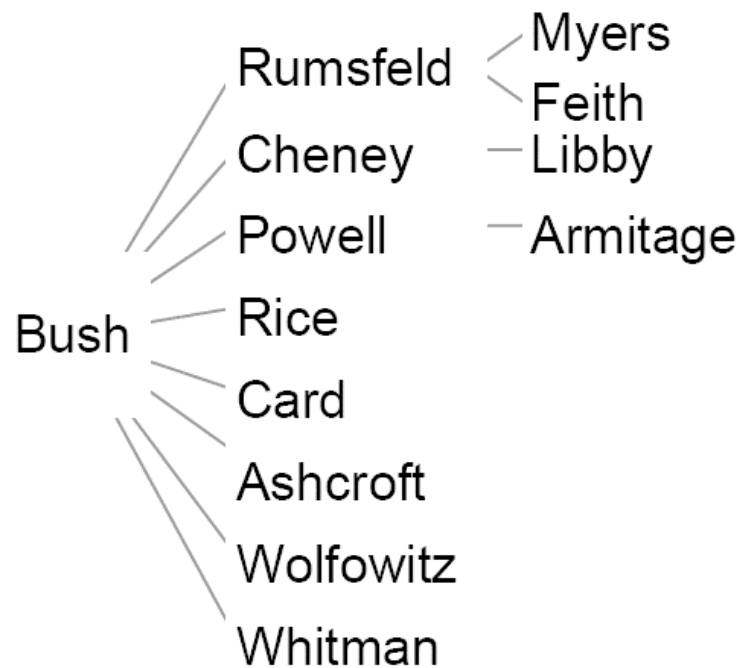
## Primates

“x dominates y”



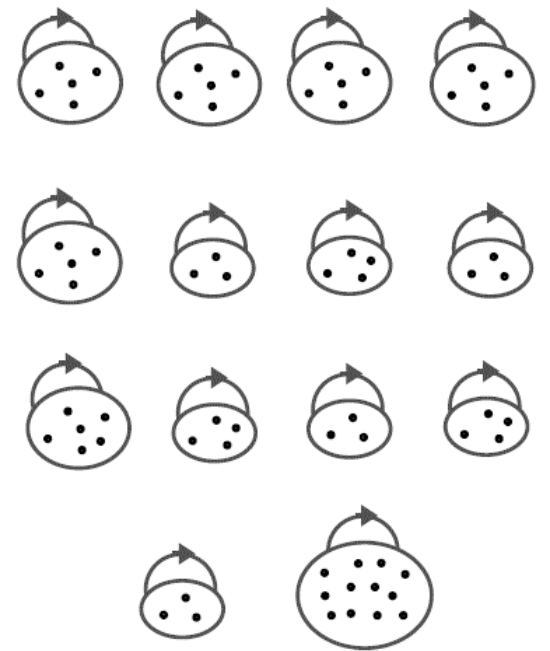
## Bush cabinet

“x tells y”



## Prisoners

“x is friends with y”





# Why structural form matters

- Structural forms support predictions about new or sparsely-observed entities.

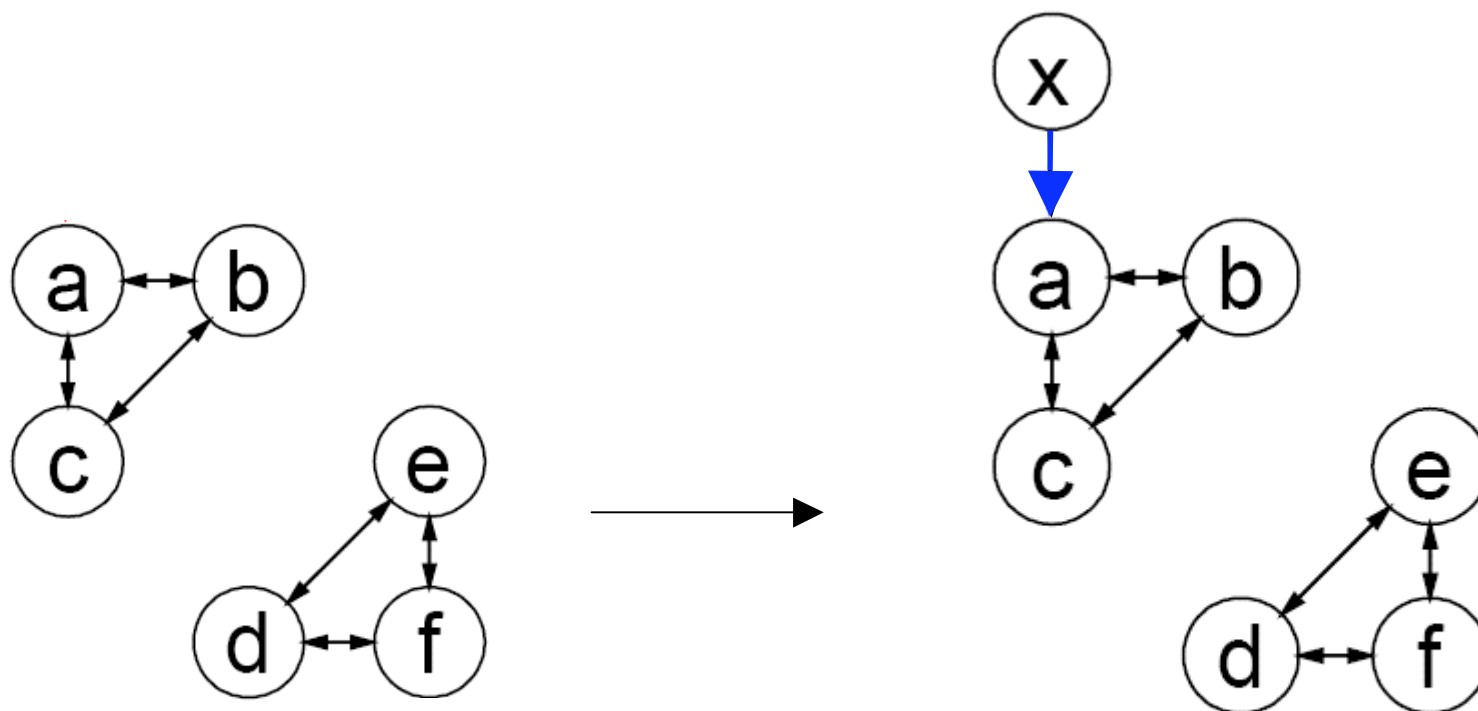


Figure 1



Eric



Mark



Mike



Adam



Alex



Bill

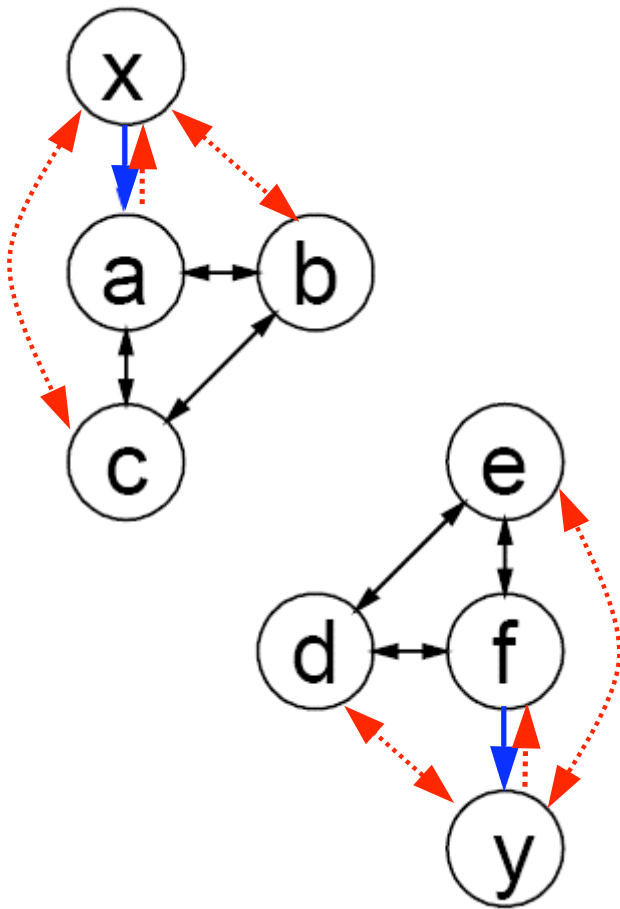
Bill sends a red envelope to Adam



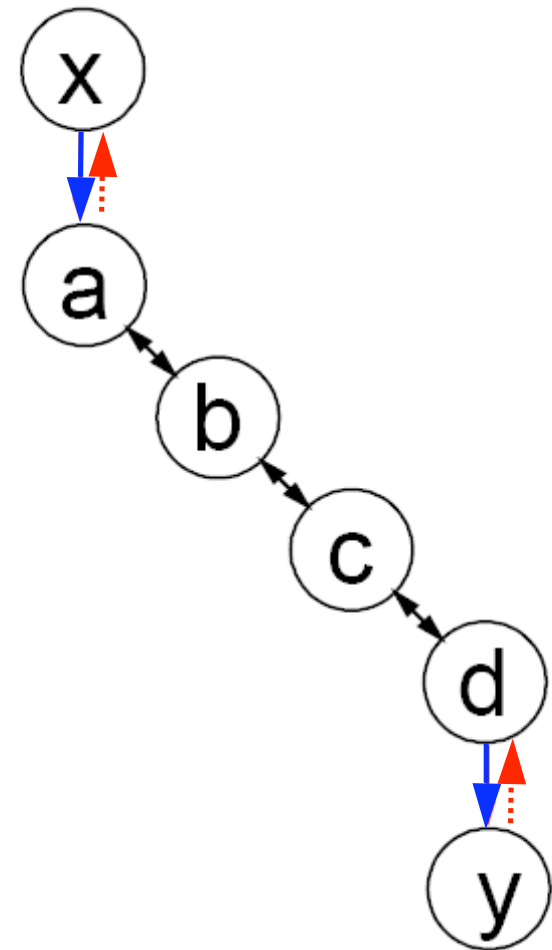
Observe

# Experiment: Form discovery

Cliques (n = 8/12)



Chain (n = 7/12)



Universal Structure grammar



Form

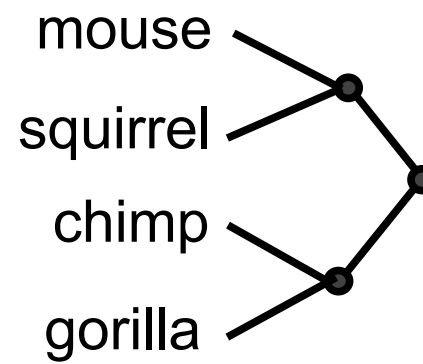
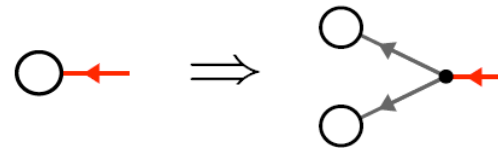


Structure



Data

U

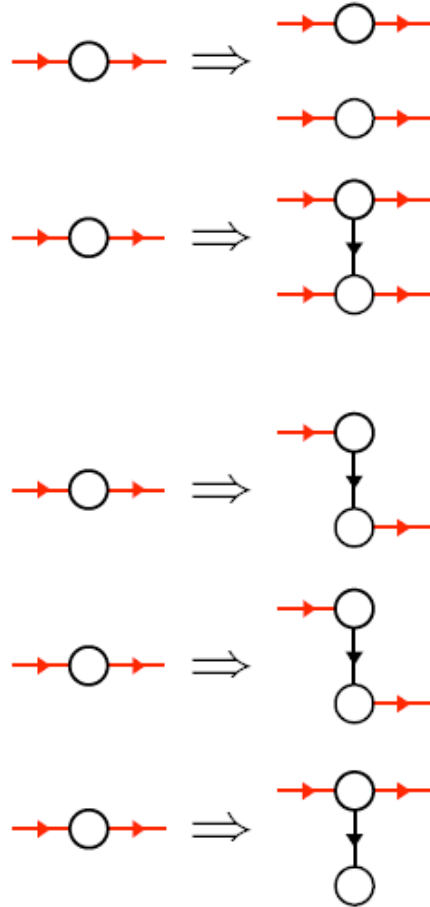
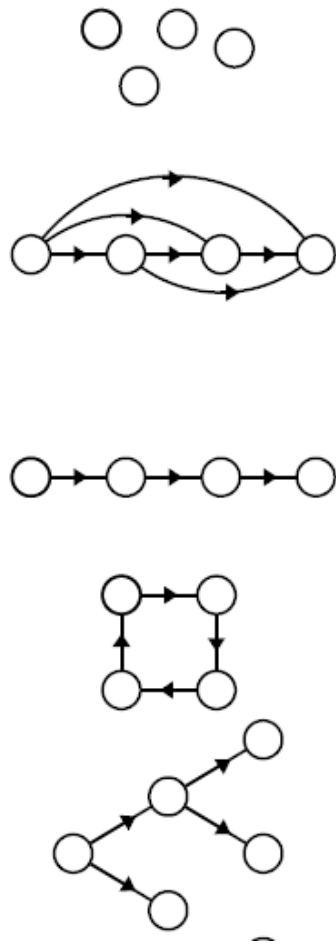


	whiskers	hands	tail
mouse	●	○	●
squirrel	●	○	●
chimp	○	●	○
gorilla	○	●	○

# A hypothesis space of forms

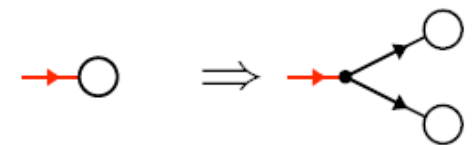
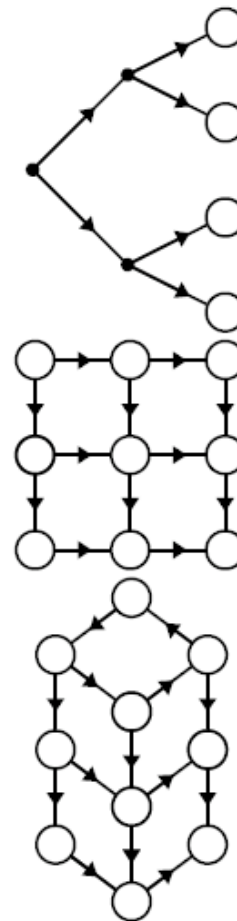
Form

Process



Form

Process



Product of  
two chains

Product of a  
chain and a  
circle

# Conclusions: Part 2

- Hierarchical Bayesian models provide a unified framework which helps to explain:
  - How abstract knowledge is acquired
  - How abstract knowledge is used for induction

# Outline

- Learning structured representations
  - grammars
  - logical theories
- Learning at multiple levels of abstraction

# *Handbook of Mathematical Psychology, 1963*

- |     |                                                                                                                  |     |
|-----|------------------------------------------------------------------------------------------------------------------|-----|
| 9.  | STOCHASTIC LEARNING THEORY                                                                                       | 1   |
|     | by Saul Sternberg, <i>University of Pennsylvania</i>                                                             |     |
| 10. | STIMULUS SAMPLING THEORY                                                                                         | 121 |
|     | by Richard C. Atkinson, <i>Stanford University</i><br>and William K. Estes, <i>Stanford University</i>           |     |
| 11. | INTRODUCTION TO THE FORMAL ANALYSIS OF<br>NATURAL LANGUAGES                                                      | 269 |
|     | by Noam Chomsky, <i>Massachusetts Institute of Technology</i><br>and George A. Miller, <i>Harvard University</i> |     |
| 12. | FORMAL PROPERTIES OF GRAMMARS                                                                                    | 323 |
|     | by Noam Chomsky, <i>Massachusetts Institute of Technology</i>                                                    |     |